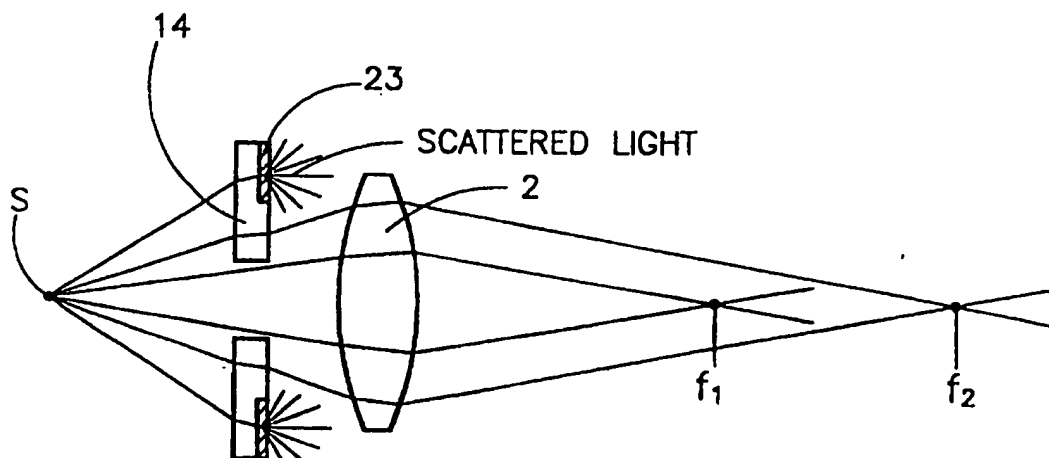


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<b>(21) International Application Number:</b> PCT/US97/08176 <b>(22) International Filing Date:</b> 13 May 1997 (13.05.97) <b>(30) Priority Data:</b> 08/644,431      13 May 1996 (13.05.96)      US <b>(71) Applicant:</b> GEO LABS, INC. [US/US]; 380 Steeplechase Drive, Media, PA 19063 (US). <b>(72) Inventor:</b> PLESKO, George, A.; 380 Steeplechase Drive, Media, PA 19063 (US). <b>(74) Agent:</b> GOLUB, Daniel, H.; Reed Smith Shaw & McClay, 1650 Market Street, 2500 One Liberty Place, Philadelphia, PA 19103 (US).		<b>(81) Designated States:</b> European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.          Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

**(54) Title:** BEAM SHAPING SYSTEM WITH SURFACE TREATED LENS**(57) Abstract**

An optical system for distinguishing features of a target. A light source (1) is located at a source position for generating a plurality of light rays emanating from the source position. A lens (2) is positioned in front of the light source position. The lens has a first surface for receiving light rays emanating from the source position and a second surface, opposite the first surface, for outputting the received light rays. The first and second surfaces are separated by a thickness. The second surface has a first clear area for passing a first group of light rays through the lens. The second surface further includes a treated area (23) that is separate from the first clear area.

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**BEAM SHAPING SYSTEM WITH SURFACE TREATED LENS**Cross-Reference to Related Applications

This is a continuation-in-part of S/N 08/229,728, filed April 19, 1994.

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Background Of The Invention

In a beam scanner a small spot of light is swept rapidly across the target. After reflection from the target a photo electric converter such as a photo diode detects the reflected light and converts it to electronic signals representing features of the target. To successfully resolve features of the target, it is necessary that the spot size be about the size of, or smaller than, the smallest features of the target

10

A bar code reader is an important commercial application for beam scanners and is referred to herein as a typical example of a specific application for the present invention.

In typical barcode scanners, optical components such as converging lenses and stop apertures are placed in front of a light source such as a laser diode causing the beam to converge to a small spot about several inches away from the source. The densest bar codes, those with the narrowest bars and spaces, are most resolvable where the beam spot has the smallest cross sectional dimensions. This narrow region is sometimes referred to as the beam waist.

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The range of distance over which the most dense codes may be resolved with a fixed focus lens is quite short. For example dense bar code targets with 5 mil, (.005 inch), wide bars and spaces may only be resolvable over a range of 1 or 2 inches when the waist is located at about 6 inches from the laser source. Such readers are perceived to have a

5 sensitive "sweet spot" when attempting to read dense codes.

Beyond the beam waist where the beam diverges it is only possible to resolve wider bars and spaces. It is very desirable however for portable scanners to have a longer depth of operating field for the dense 5 and 7 mil bar codes.

In the U.S. patent application entitled "ELECTRO-OPTICAL SCANNING SYSTEM

10 WITH GYRATING SCAN HEAD", serial number 07/776,663 of which the present invention is a continuation-in-part, several methods were described for extending the depth of field of a beam scanning bar code reader. One of these methods employs the use of a moving lens system which may be electrically focused and another employs a non-imaging cone shaped optical element to provide a beam which is narrow over a certain distance then

15 diverges rapidly beyond that distance.

U.S. Patent number 4,816,660 describes the use of a conventional aperture stop to increase depth of field of a laser bar code reader. The aperture stop has draw backs in that it wastes a substantial portion of the laser beam power to gain increased depth of field and

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requires precise mounting of numerous parts including a separate blocking wall, aligned with and spaced from a separate lens, thus forming a bulky system.

For fixed focused systems, when the beam spot is not at its smallest size at a particular distance down range, a dense bar code target becomes difficult or impossible to  
5 resolve.

U.S. patent number 5,438,187 describes lenses with compound surfaces, i.e., different curvatures on a single lens surface to simultaneously focus light at different points down range. This approach is difficult to implement because the production of such lenses is complicated even if they are molded from plastic. The focusing of such lenses is also  
10 complex and leads to tedious compromises. Signal processing is also impaired due to decreased signal to noise ratios associated with simultaneously apportioning light to different regions. (Light not in focus for one region may add to noise especially between foci.)

#### Summary Of The Invention

15 The present invention provides for increased field depth in optical systems such as beam scanners while reducing the number of parts and complexity needed as compared to prior art systems. Simplified mounting, packaging and adjustment requirements are

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combined in common structures thereby keeping production costs and space requirements low.

Various embodiments of these structures are combined with a novel fluidic or gel lens mechanism to effect a continuously variable focus thereby providing a minimum spot size  
5 over a wide range to significantly extend the depth of scanning range over prior art scanners with little wasted light while saving power.

The present invention effectively solves problems of increasing resolution over a long working range while providing the benefits of small size, low power and low cost, all of which are valuable features in portable equipment. Signal processing of light signals is also  
10 much more straight forward, requiring simpler electronic signal amplification and conditioning circuits for signals from close and distant targets, respectively.

In particular, the present invention is directed to an optical system for distinguishing features of a target. A light source is located at a source position for generating a plurality of light rays emanating from the source position. A lens is positioned in front of the light source  
15 position. The lens has a first surface for receiving light rays emanating from the source position and a second surface, opposite the first surface, for outputting the received light rays. The first and second surfaces are separated by a thickness. The second surface having a first clear area for passing a first group of light rays through the lens. The second surface further includes a treated that is area separate from the first clear area.

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In accordance with a further aspect, the present invention is directed to a method for treating an optical lens. A lens substrate formed of clear material is provided. The lens substrate has a first surface, a second surface opposite the first surface, and a thickness represented by a distance between the first and second surfaces. A treated area is formed on a  
5 portion of the second surface of the clear material. After the treated area is formed, the second surface includes the treated area and a separate first clear area different from the treated area.

In accordance with a still further aspect, the present invention is directed to an optical system and method for distinguishing features of a target. A light source is located at a  
10 source position for generating a plurality of light rays emanating from the source position. An aperture window is positioned in front of the light source position. The aperture window has an open hole for passing a first group of light rays through the aperture window, a clear portion formed of a clear material for passing a second group of light rays through the aperture window, and a translucent portion for scattering a third group of light rays as the  
15 third group of light rays passes through the aperture window.

In accordance with a still further aspect, the present invention is directed to a lens system for focusing a light beam. A shell has a first liquid bounding surface having a first width, a second surface having a second width, and an open hole spanning between the first liquid bounding surface and the second surface. The open hole has a third width that is

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smaller than the first and second widths. A cured resin material is positioned against the first liquid bounding surface and throughout the open hole. The cured resin material includes a first curved lens surface that is positioned against the first liquid bounding surface and which spans the first width.

5 In accordance with yet a further aspect, the present invention is directed to a method for making a lens system for focusing a light beam. A shell having a first liquid bounding surface with a first width, a second surface having a second width, and an open hole spanning between the first liquid bounding surface and the second surface is provided. The open hole has a third width that is smaller than the first and second widths. A droplet of curable liquid  
10 resin is deposited on top of the first liquid bounding surface, and a portion of the droplet is allowed to flow through the open hole. The curable liquid is cured to form a cured resin material positioned against the first liquid bounding surface and throughout the open hole. The resulting cured resin material includes a first curved lens surface that is positioned against the first liquid bounding surface and which spans the first width.

15 In accordance with a further aspect, the present invention is directed to a system for focusing a light beam. The system includes a housing having a sealed cavity filled with a pliable gel for receiving and focusing light rays from a light source. In accordance with a still further aspect, the present invention is directed to a method for making a light beam focusing system. A housing having a hollow cavity is provided. The hollow cavity is filled with a



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pliable gel for receiving and focusing light rays from a light source. Thereafter, the pliable gel is sealed within the hollow cavity.

In accordance with a still further aspect, the present invention is directed to a sonic actuation system and method for actuating a bar code scanner. A microphone element is provided. A circuit is coupled to an output of the microphone element. The circuit actuates the bar code scanner when the microphone element receives an acoustic signal that exceeds a threshold level.

#### Brief Description Of The Drawings

Figure 1 shows how a converging lens creates a narrow waist region in the beam from a laser light source.

Figure 2 shows how an aperture stop is used to make a beam cross section smaller while sacrificing beam power to extend the depth of field.

Figure 3 shows an embodiment of the present invention and how it creates an extended depth of field without sacrificing beam power.

Figure 4 shows a transparent plate with a hole in its center and a peripheral translucent area for scattering unwanted light.

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Figure 5 shows a transparent plate with a hole in its center, two steps for generating different foci and a translucent area for scattering light.

Figure 6 shows how a window plate like the one of Figure 3 generates two focal zones and scatters unwanted light.

5        Figure 7 shows how a multi zone focal system may be incorporated into a bar code scanning system.

Figure 8a shows a translucent light scattering lens surface with a clear non-scattering portion shaped like a slot.

10       Figure 8b shows a translucent light scattering lens surface with a clear non-scattering portion shaped like an ellipse.

Figure 8c shows another translucent light scattering lens surface with a central clear non-scattering portion and an opaque spot in its center.

Figure 8d shows another translucent light scattering lens surface with a zone plate treatment on its surface.

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Figure 9 shows a conventional lens with a translucent area on its surface and a light source behind it.

Figure 10A shows a view of the face of a lens with an annular light scattering region and a circular clear area in its center.

5        Figure 10B shows how a lens surface may be treated to have an outer translucent area and a rectangular clear area in its center.

Figure 11A shows a gradient index lens with a chamfer ground onto one of its faces to scatter unwanted peripheral light.

Figure 11B shows a gradient index lens with an elliptical or round clear central face  
10    surrounded by a translucent light scattering region.

Figure 11C shows a gradient index lens with a rectangular clear central face surrounded by a translucent light scattering region.

Figure 11D shows a gradient index lens with a treated central region which may be translucent or opaque surrounded by a concentric clear region applied to one of its faces.

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Figure 11E shows another gradient index lens with a zone plate pattern treatment applied to one of its faces.

Figure 12 shows a light source holder integrated with a lens holder and focus mechanism.

5        Figure 13 shows a light source holder also serving as a lens holder and depicts how the focus mechanism moves the lens.

Figure 14 shows how a low cost liquid drop lens is formed.

Figure 15 shows the finished liquid drop lens integrated with the holder used to form it.

10       Figure 16 shows how the liquid drop lens and holder can mount to a light source.

Figure 17 shows a cross section view of the liquid drop lens and holder mounted to a light source.

Figure 18 illustrates the basic structure of the novel fluidic lens of the present invention.

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Figure 19 shows a light source, a fixed mountable primary lens with its focus apparatus and an electrically focusable secondary gel lens all integrated into a single housing.

Figure 20 shows another example of a light source, a fixed primary lens, apparatus for achieving primary focus of the light source and a piezo-electrically focusable secondary gel lens all integrated into a single housing.

Figure 21 shows the system of Figure 19 integrated with a beam scanner and a photo electric converter to form a bar code reader.

Figure 22 shows a portable data capture system using components of the present invention for scanning bar code with a hand raster method.

Figure 23 shows a scan system with a reflective strip or photo resist treated mirror for shaping a beam.

Figure 24a shows a surface mountable scan device for scanning a beam.

Figure 24b shows another view of the surface mountable scan device.

Figure 24c shows a thin surface mountable scan element with a unitary structure.

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Figure 25A shows a portable information capture device with memory and information processing capability and a thin scan module.

Figure 25B shows an enlarged view of the thin scan module with the scan device of Figure 24a and a lens treated according to the present invention.

5 Figure 26 shows an acoustic actuator circuit.

Figure 27a shows an acoustic sensor.

Figure 27b shows how an acoustic sensor element is attached directly to a printed circuit board for minimal space mounting.

#### Description Of Preferred Embodiments

10 While the following description may refer to a bar code reader and the items being read as bar code targets, it should be understood that the invention is applicable to other information readout devices and equipment requiring beam conditioning or light focusing as disclosed herein. Accordingly, as used herein, the term target refers not only to a bar code but to any item having optically discernible features which require detection and  
15 discrimination and signal may represent any electronic or optical signal bearing target information.

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As shown in Figure 1 a light source such as a semiconductor laser 1 produces a light beam emanating from source S, which is focused by a positive converging lens 2. The light from source S converges down range to an area known as the beam waist 3 which is the area of greatest power concentration or intensity of the beam. In the waist region the light beam has its smallest cross section. Thus, in the vicinity of the beam waist 3 the highest resolution is obtainable and the finest target detail is resolvable. In practice, the beam is shaped to converge and diverge gradually, so as to produce a useful range R of field depth for bar code reading.

Referring now to Figure 2, when an aperture 8 and a stop 9 are placed in front of the converging lens 2, the widely diverging portion of the beam typified by ray 10a is blocked by stop 8, whereas a less divergent portion of the beam as shown by ray 11 passes through aperture 9. The result is that ray 11 moves down range unimpeded whereas ray 10a (which would have followed dotted path had stop 8 not been present) 10b is blocked. If no aperture stop were used in the system of Figure 2, then the useful range where the beam size would be small enough to resolve a bar code target would be depicted by range R. However, due to the use of aperture stop 8, the range where the spot size is useful will be relatively longer as indicated by R'. However, use of aperture stop results in light being wasted. This of course is in accord with well known principles of geometric optics, Gaussian beam geometry and stop aperture techniques.

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Aperture Window Having Light Scattering Surface

Now turning to Figure 3, there is shown a transparent window 14 having an aperture 15 and a peripheral translucent area 23. Translucent area 23 functions to scatter (as opposed to focus) light from source S. The transparent window has a thickness T and an index of refraction  $N > 1$ . Transparent aperture window 14 is located between real light source S and converging lens 2. While it is highly desirable to not waste light from the source, it is well known that the angle of divergence of light from laser diode light sources can vary by several degrees from one unit to another. In order to obtain consistent results it is desirable to eliminate light which diverges from the source at an angle greater than an allowable maximum. This may be accomplished by adding a translucent light scattering area 23 as seen in Figures 3 (and also in Figure 6) to preclude the unwanted excessively divergent light from reaching the target.

Referring still to Figure 3, rays emitted from source S such as ray 16a and 16b which diverge at angles small enough to pass through aperture 15, focus at point f1 after passing through positive lens 2. On the other hand, rays such as 17a and 18a also emitted from source S which diverge at an angle too large to pass through aperture 15, pass through the window 14 and undergo refraction. These more divergent rays emerge along paths typified by rays 17b and 18b, respectively. After passing through converging lens 2, rays such as 17b and 18b then converge in the vicinity of point f2 farther down range in accord with the principles of geometric optics. Accordingly, if laser light as source S, the resulting beam will



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form two waist regions characteristic of Gaussian beams. Because of refraction, the light rays which pass through the refractive window 14, such as original rays 17a and 18a, behave as if they had originated at two different source points, namely S and S'. S' represents a virtual source point which is closer to converging lens 2 than real source point S. The distance X between source points S and S' in air is equal to  $(N-1)T/N$ , where N is the index of refraction of the refractive material used to form window 14 and T is its thickness. This along with the well known formulas for image position can be used to design similar window elements with focal ranges as desired.

The virtual source S' location is different from the real source S location because, as portions of the beam pass through media with different indices of refraction, different optical path lengths are created. Any number of optical paths may be created in this manner and when rays from the various paths are passed through a converging optical element such as a positive lens 2, the rays from the different sources will converge at different points down range. Since this system has no single focal length it is inherently a non-imaging beam conditioning device.

In the preferred embodiment shown in Figure 3, the aperture 15 is made small so that only a small fraction of the light passes through it for the close in targets and a substantially greater amount of light is allowed through the clear part of the transparent substrate 14 for focusing upon distant targets, whereas the most divergent rays are scattered by area 23

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effecting an even better working range. The transparent window 14 may be made from glass or plastic film. The aperture 15 may be formed by etching or drilling a hole in the window 14. The light scattering area 23 may be formed by frosting, grinding, or etching either or both sides of window 14.

- 5 Referring still to Figure 3, since the proportion of light returned from distant targets will be far less than the proportion returned from the close targets, more light is preferably allocated to the distant ones and focused down range. The smaller portion of light which is focused on close targets is easily detected up close but is out of focus for the distant ones and has an insignificant effect upon the light signal detector for these. Alternatively, the light
- 10 allocated for the distant targets is not yet in focus to resolve close targets and hence does not produce well modulated pulses when it sweeps across the close targets but produces sharp pulses when swept across distant ones and is thereby detected. In this way, the dynamic range problem of varying light intensity over large distances is improved while small spot size is provided up close as well as down range. Digitization of the sharp high speed
- 15 electronic pulses, produced with multi-zone beam shaping elements is preferably accomplished with circuits designed to be responsive to abrupt variations in reflected light signals produced by light swept across a target. A differentiator, an AGC, an electronic filter, and microprocessor circuits are preferably employed to accomplish the required signal discrimination and processing.

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Lens With Integral Translucent Area For Scattering Light

Now turning to Figure 9, an alternate embodiment of the present invention is shown in which the translucent light scattering area 23 has been applied directly to the surface of lens 2. Only light passing through the clear portion of the lens 2 is effectively focused on the target area at point f1 and peripheral highly divergent light passes through area 23 and is scattered away. Light scattering area 23 may be formed by applying a frosted tape, paint or the like to the outer surface of lens 2. In a preferred mode, light scattering area 23 is formed as an integral part of the surface of lens 2. Fabricating translucent area 23 as part of the lens saves mounting space, reduces parts count and alignment associated with mounting a discrete part. It will be understood by others skilled in the art that such translucent areas 23 can also be applied to a lens in other ways which are cost effective. For example, a frosted area 23 may be applied to a lens surface by grinding, etching, spraying, abrasive blasting, vapor deposition on the lens surface. It may be applied to either or both sides of the lens and in various shapes such as a rectangular shape, (shown in Figure 10B), a round shape (shown in Figure 10A), an elliptical shape, and so on, in order to impart various profiles to beams passing through the lens 2. Areas 323 and 324 in Figures 10A and 10B preferably represent clear areas on the lens surface, and the shaded areas 23 and 322 on these figures represent areas on the lens surface that have been treated (by, for example, grinding or etching ) so as to scatter light. Alternatively, the shaded areas 23 and 322 on Figures 10A and 10B may represent areas on the lens surface that have been made opaque in order to block light from passing through a portion of the lens, and in still further alternative embodiments, areas 23

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and 322 may represent areas on the lens surface where photoresist material has been deposited and/or dyed.

The direct application of a translucent treatment to certain kinds of lenses as will be shown below yield great benefits, not only in depth of field improvements, but in space savings, ease of mounting, focusing, packaging into miniature apparatus, fabrication of the lens itself, and parts count reduction (especially over prior art stop apertures which require distinct opaque components and mounting components).

Figures 8c and 8d depict light scattering surfaces 124 of other shapes, which may be applied around clear areas of a lens surface. In particular, Figure 8c shows a translucent area 124 surrounding a clear area 127 with an opaque or diffuse circular dot 126 (or rectangle etc.) in the center to yield a Fresnel diffraction effect and zone plate treatment respectively.

Figures 8a and 8b depict a clear lens surface 14 having either a rectangular shaped opening 120 or elliptical shaped opening 122. Each of the treatments shown in Figures 8a, 8b, 8c and 8d can produce useful beam properties according to the present invention.

The embodiment of Figure 8C is particularly simple and useful. Translucent area 124 scatters unwanted light, thus yielding a better depth of focus for the lens to which it is applied. Central spot 126 (which may be opaque for blocking light or translucent for scattering light ), creates a bright spot down range due to diffraction. This bright spot due to diffraction may be differently located than the spot created by refractive effects. Two

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operating zones may thus be created which may be designed to overlap so as to extend operating range.

#### Treatments For Direct Application To Lenses

Gradient index lenses or GRIN lens as they are sometimes called are small and focus  
5 light by means of a radial gradient of refractive index rather than by using curved faces like  
conventional lenses. A chemical diffusion process is used to make these lenses and they are  
specified as having an effective diameter which is less than their mechanical diameter. The  
outer glass portion of a GRIN lens beyond its smaller effective diameter is undependable or  
undesirable in its refractive properties for focusing purposes. Gradient index lenses are often  
10 fabricated with a cylindrical body and flat faces, features which may be advantageous for  
mounting and alignment. It has been discovered that such GRIN lenses can be further  
modified to enhance light beam shaping as is now described.

Turning to Figure 11A, gradient index lens 320 is shown with a chamfered rim 321  
surrounded by a clear central area 326. In the case of lens 320, the chamfered portion 321  
15 may be generated by simply grinding it onto the lens. The chamfer is not polished in the  
example shown and will therefore scatter light, thereby eliminating the need to mount a  
separate stop aperture component. Ground chamfer 321 also scatters light which passes  
through the undependable outer refractive portion of the lens 320. In this way, simplicity in  
assembly and mounting is achieved as well as reduction of parts count. Such modified lenses

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can be mounted in an ultra compact scan module, such as that shown in Figure 25B. Since GRIN lenses typically have flat ends, a treated portion may be formed on the flat ends by selectively masking a flat end with photo resist, chemical resistant tape or film, and then etching with hydrofluoric acid or its vapor. It has also been found that GRIN lens surfaces  
5 may be selectively frosted (in order to form light scattering surfaces thereon) by exposure of the GRIN lens surfaces to the fumes of cyanoacrylate cement. In some cases, the mask or a photo resist coating used in forming the light scattering surface may be advantageously left on after exposure to UV light and development.

Figure 11B and 11C show GRIN lenses with translucent light scattering areas 327 and  
10 329 surrounding oval and rectangular clear areas respectively, again eliminating the need for separate stop aperture components. Punched frosty tape or grinding methods could be applied to the flat surfaces of the GRIN lenses to achieve these light scattering geometry's. Since it is preferred to eliminate parts and steps wherever possible direct grinding or photo lithographic processes are preferred. Application of liquid photo resist to the surface of  
15 GRIN lenses (or other conventional lenses) makes possible the application of sophisticated patterns to their surfaces such as a zone plate pattern shown in Figure 11E.

Areas 326 and 329 in Figures 11B and 11C preferably represent clear areas on the lens surface, and the shaded areas 327, 328, 330 and 332 on Figures 11B-11D represent areas on the lens surface that have been treated (by, for example, grinding or etching ) so as to

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scatter light. Alternatively, the shaded areas 327, 328, 330 and 332 may represent areas on the lens surface that have been made opaque in order to block light from passing through a portion of the lens, and in still further alternative embodiments, areas 327, 328, 330 and 332 may represent areas on the lens surface where photoresist material has been deposited, exposed to UV light through a mask, developed, and then partially removed and/or dyed in order to achieve optical scattering, blocking and/or attenuation. In still further alternative embodiments, areas 327, 328, 330 and 332 may represent areas on the lens surface where a clear photoresist layer remains forming a clear step on the lens surface between, for example, areas 326 and 327, thereby resulting in a two-zone plate that has the same optical properties as, for example, the devices shown in Figures 3 and 4. In still further alternative embodiments, treated areas 327, 328, 330 and 332 may be positioned on the surface of the lens which is positioned adjacent to light source S.

Patterns may be etched into the lens surface after the photo resist is exposed and developed but it is not always necessary to perform the etching or removal step. The resist pattern may be simply left on the lens after developing. However, if the photo resist is exposed so as to form a small open area on the surface of a grin lens, the resulting structure can form a transparent step for multi zone focusing which works in the manner of the device of window plate 14 of Figures 3 and 4. Alternatively, the developed resist may be dyed to form a light absorbing region or to create an appodizing treatment. KPR type photo resist may be dyed blue for example and the dyed areas will attenuate the passage of non-blue light,

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delay its passage, phase shift it or the like - thereby modifying the transmitted beam shape. If the resist is not dyed but simply developed, rings may be generated which if sized and spaced properly will create diffraction effects to impart shaped properties to a beam passing through the pattern. Indeed, it is well known that holograms may be formed with photoresist and these may be thus applied to such lenses.

In their paper, incorporated by reference herein, which appeared in Physical Review Letters, Vol. 58, Number 15, page 1499, J. Durnin, J.J. Miceli Jr. and J.M. Eberly describe how to produce diffraction free beams using a ring pattern like that of Figure 11D. Such beams maintain a small diameter over a long distance and are therefore very useful in the beam scanning embodiments described herein.

#### Liquid Drop Lens

The fabrication of a low cost liquid drop lens with integral mount is illustrated in Figure 14. A nozzle 350 dispenses a droplet of liquid resin 352. When the droplet is large enough, it falls by gravity onto shell 340 which has liquid bounding surfaces 347 and 348. Liquid bounding surfaces 347 and 348 are raised surfaces on shell 340 which are separated by hole 349. The droplet then flows onto and wets surface 347, a portion of the droplet flows through hole 349 and onto lower surface 348, thereby wetting surface 348. The bounding surfaces 347 and 348 limit the spread of the liquid. In a preferred embodiment, the surfaces 347 and 348 are circular (and raised) so that the surface tension of the liquid resin causes the



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formation of spherical surfaces 344 and 346, respectively, as shown in Figure 15. Since the function of surfaces 347 and 348 is to limit the spread of the liquid when the droplet is applied to the shell 340, in alternate embodiments surfaces 347 and 348 could take other forms and shapes such as, for example, cup-like surfaces, which similarly function to bound the spread of the liquid when it is initially applied to the shell.

During normal production of drop lens made in accordance with the present invention, gravity establishes the direction of liquid flow and influences the degree of sphericity of the curved lens surfaces 344 and 346. Features of the design geometry such as, the volume of hole 349 and the area of the surfaces 347 and 348, determine the final shape of the lens shown in Figure 15. The effects of gravity, droplet size and surface tension will tend to form aspheric lens surfaces with circular symmetry as the droplet size increases.

The liquid lens thus formed is finally cured to create a durable optical piece. Curing methods depend upon chemical properties of the resin system chosen. Certain liquid polymers incorporate agents which allow the resin to be cured by exposure to ultra violet light (UV). For example Master Bond Inc. of Hackensack, N.J. makes a polymer system called EP21TDC-7 which is optically transparent, has an index of refraction close to that of glass and can be UV cured to a hard solid in seconds. When shell 340 is formed of translucent material, UV light passing through it during cure is dispersed thoroughly and facilitates a uniform cure of the liquid lens. Other polymers such as epoxy can be used to

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form the liquid lens and can be cured without UV light. In several embodiments to be described later, it will be explained how liquid silicone resin may be used to create a continuously focusable polymer gel lens.

5 The region surrounding hole 349 in the translucent shell 344 functions as a light scattering mechanism to truncate the effective focused beam diameter. In this way, a separate stop aperture component is eliminated to obtain a longer working range. Shell 340 can also serve as an integral mount for the lens as seen in Figure 17, thereby eliminating the need for special lens mounting components as well as a stop aperture.

Alternatively, shell 340 may be made from clear (instead of translucent) material, 10 such as plastic with a different index of refraction from that of the liquid resin. Doing so will impart a two zone focus effect which functions as explained above for the device of Figure 3. For example, to understand how this embodiment works, assume that the liquid resin chosen has a lower index of refraction than transparent shell 340. In this case, (referring now to Figure 17), after light from source S passes through lens surface 344 some of it will take a 15 route through hole 345 filled with the low index resin and a second group of rays, the more divergent rays, will take a route through the clear shell area just outside of the boundary of hole 345 with the higher index of refraction. Finally both groups of rays will eventually pass through lens surface 346. In this example the hole filled with the low index resin corresponds to hole 16a in Figure 3 filled with air and the shell material outside of the boundary of hole

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345 in Figure 17 corresponds to the higher index material 14 of Figure 3. Two different internal optical path lengths are thereby provided in a liquid drop lens with a transparent shell, and these paths are introduced before light passes through the final lens surface 346 which corresponds to lens 2 of Figure 3. Therefore, just as in the case of Figure 3, two different focal zones  $f_1$  and  $f_2$  will be generated. Of course, in the case of the liquid drop lens with a transparent shell, the hole 345 can alternatively be filled with high index resin and the shell 340 may be formed of low index material, whereby the internal optical paths and the  $f_1$  and  $f_2$  positions will be different than just described.

In alternate embodiments, either one or both raised surface areas 347 and 348 can be shaped with surfaces which are not circular. For example, if surfaces 347 and 349 are elliptical in shape, the resulting lens surface formed will have an ellipsoidal surface rather than one approximating a spherical section. An ellipsoidal surface can correct astigmatism introduced from a light source such as a laser diode or intentionally introduce astigmatism into the lens if so desired to create the effect of two separated light sources along the optical axis to provide an extended focal zone.

The liquid drop lens embodiments described above can be fabricated in small dimensions and at about one tenth the cost of glass or traditional plastic lenses. In a preferred embodiment where the liquid drop lens is fabricated to be small, the diameters of raised surfaces 347 and 348 may range between 1.0 and 5.0, millimeters, and are preferable on the

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order of about 3.0 millimeters. In addition, in this embodiment where the liquid drop lens is fabricated to be small, the diameter of hole spanning between the raised surfaces may be between 0.5 to 2.0 millimeters, and is preferably on the order of 0.75 millimeters.

#### Combination Focus And Mounting Techniques

5           Figures 12 and 13 show a light source S disposed in a housing 4. The base 1 of housing 4 is inserted in mount 300. Within mount 300 is a hole 302 into which a cylindrical GRIN lens 308 is loaded. A small shoulder step 304 is provided to keep the lens from falling out of its hole during handling. Cross holes 314 and 310 are perpendicular to and partly intersect GRIN lens hole 302. The cross hole 310 has a pin disposed therein which allows  
10   the lens 308 to be moved in order to focus light source S to a small spot down range along axis Z. Movement of lens 308 is accomplished by inserting a high friction roller such as a thin piece of rubber tubing into cross hole 310 and rotating the pin disposed therein according to arrow 312. Friction between the pin and the side of lens 308 causes lens 308 to move along axis Z in the direction of arrow 307 if the pin is rotated clockwise, or in the direction of  
15   arrow 309 if the roller is rotated counter clockwise. Once the desired focus is achieved, a drop of adhesive is preferably placed into open hole 314 to lock the lens in place, then the rubber roller is removed.

Figure 12 shows the same structure as Figure 13, except that the GRIN lens 308 in Figure 12 has a ground chamfer 320 which is translucent, thus eliminating the need for a

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separate aperture stop component and/or eliminating unwanted light coming through the lens in the region beyond its effective diameter. The non-chamfered GRIN lens of Figure 13 may be given one of the numerous surface treatments described herein, such as, for example, those depicted in Figures 8a, 8b, 8c, 8d, 10a or 10b. Shoulder area 304 of hole 302 may also be  
5 sized to about the diameter of the effective diameter of the GRIN lens to eliminate peripheral light not properly focused by the ineffective outer portion of the grin lens.

In an alternate focus technique, the GRIN lens of Figures 12 or 13 may simply be glued into hole 302, letting it rest against stop 304, then moving the light source S with its housing 4 along axis Z. When the proper position is found for the light source S with respect  
10 to the lens, housing 4 is locked into mount 300 by gluing it or by squeezing to slightly deform mount 300 at the base region 1 of the light source housing. It is advantageous to mount light source housing 4 in a thin hollow cylindrical ferrule to aide in sliding it into hole 303 and to prevent undesired tilting. Such a ferrule is shown as item 322 in Figure 20.

The focus and mounting techniques described above eliminate the need for fine  
15 threaded focus barrels and the like and achieve precision mounting of the optics within a single mount 300. Mount 300 also functions as a heat sink for the light source and a solid mounting base for circuit boards forming a complete scan module.

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Gel State Continuous Focus Lens

Figure 18 is a schematic representation of a pliable gel state lens system capable of effecting a continuously variable focus. It is formed in a liquid state using methods described for the liquid drop lens, and then cured to an extremely pliable gel. Thus, cavity 360 in solid housing 361 is filled with a liquid polymer which is later cured to a pliable gel. Silicone resin with a refractive index greater than 1.0 and preferably about 1.4 to 1.6 and of exceptionally low durometer after curing is suitable for the gel resin. Several chemical companies make such silicone resins. The General Electric Company supplies one such resin product known as RTV 6157. When cured, this resin has a consistency somewhat softer than the food product known as JELLO®. In addition, when cured, this resin is highly resilient, can be drastically deformed with little pressure, is transparent and has good chemical stability over time.

When a force  $F$  is applied to surface 380 of the gel resin filling cavity 360, surface 380 is distorted inwardly in the direction of arrows 370. This force is transmitted throughout the gel in cavity 360 as though it were a liquid causing gel surfaces 362 and 364 to bulge outwardly as indicated by arrows 371. The radii of curvature of gel surfaces 362 and 364 decrease as force  $F$  is increased. The bulging convex surfaces 362 and 364 and the gel between them constitute a thick lens, the focal length of which is varied by varying force  $F$  applied to the gel in cavity 360. An object, which may be a light source, placed at point  $P$  will be imaged by the thick gel lens in focal plane  $f$  as determined by well known lens

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making formulas. Increasing force  $F$  moves focal plane  $f$  in the direction of arrow 372, towards the object, whereas decreasing force  $F$  moves the focal plane farther away in the direction of arrow 374.

— In one embodiment, either one or both surfaces 362 and 364 may be flat when no  
5 force  $F$  is applied and convex or concave when Force  $F$  is applied towards or away from cavity 360 respectively.

The gel lens is useful for focusing a light source such as a laser diode light source or for focusing an image placed at point  $P$  onto a plane at point  $f$ . For example, it may be used to focus the image of a target onto a CCD photo diode array to read information therefrom.  
10 Moreover, the gel lens could be used in optical instruments for use with the human eye, such as, for example, eye glasses, microscope objectives, and the like.

#### Electrically Variable Gel Focus System

Now turning to Figure 19, a complete electrically variable focus system with a gel lens adapted for focusing a light source  $S$  such as a laser diode is shown. The system is  
15 constructed from the novel elements previously described.

Light source  $S$ , located in housing 4, is mounted in monolithic housing 361. Housing 361 may be made from heat dissipating material to heat sink the light source. Primary focus

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lens 308 may be a GRIN lens with a chamfered translucent area 320. Lens 308 is preferably located in mounting hole 302, is next adjusted to focus light from source S, and is finally cemented in place. Alternatively, the lens 308 may be glued in place and light source S in its housing 4 may be moved with respect to lens 308 to achieve a desired focus. After the lens  
5 308 has been mounted, cavity 360 is filled with a transparent medium such as pliable silicone gel. Light passing through lens 308 continues along optical axis Z through the gel filling of cavity 360 and through gel lens surface 362 whereupon light is focused down range along axis Z in the range of f.

As explained below, the curvature of lens surface 362 may be continuously varied in  
10 order to move the focal plane (or point) f along the Z axis foreword or backward in the directions indicated by arrow 367. Light from source S is thereby focused to a minimum spot size wherever it is desired along the Z axis. In order to change the curvature of lens surface 362, it is necessary to apply pressure to the gel filling cavity 360 with a suitable pressure element. A preferred pressure element is constructed by placing a flexible diaphragm 504 in  
15 contact with the gel at an opening in cavity 360. A small strong magnet 502, positioned to interact with magnetic coil 500, is mounted to apply force to diaphragm 504. As current is supplied in one direction to leads 501 of coil 500, magnet 502 compresses the gel in cavity 360 causing gel lens surface 362 to bulge and thereby move the point at which the focused spot has a minimum size toward the source. If current is supplied to coil 500 in the opposite  
20 direction then magnet 502 will reduce pressure on the gel and the radius of curvature of lens



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surface 362 will be increased from its normal state thereby causing the minimum size beam spot to converge farther down range, away from source S. The beam focusing device of Figure 19 can be extremely compact with all the system components including the electro magnetic pressure element integrated into housing 361.

5           Although silicone gel is a preferred elastic medium for the variable focus mechanism of Figure 19, it is not the only medium by which the variable focus system can be realized. In an alternate embodiment, cavity 360 may be filled with a liquid having a high index of refraction. The liquid would be contained by diaphragm 504 and a transparent diaphragm placed in the beam exit area at position 365 to seal in the liquid. Then, as magnet 502  
10       moved, it would cause the transparent diaphragm to effect a positive or negative curvature lens surface 362.

          In a further embodiment, the gel used to fill cavity 360 may be cast with a flat surface at plane 365 when no pressure is applied to it and surface 362 may be made concave or convex by proper application of current in coil 500. In this case, primary lens 308 may be  
15       adjusted to a desired focus while no force is being applied to the gel. To vary the focal range of the device, direct current may be applied to coil 500 in only one direction for single polarity operation of the system. The magnetic coil assembly can be fabricated from various structures such as those used for making earphones or loud speakers.

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Although it has been found useful to use a fixed primary lens such as lens 308 in Figure 19, the variable focus system may be constructed without such a lens as shown in Figure 18, and with the electromagnetic pressure transducer consisting of magnet 502 and coil 500 supplying appropriate distortion force  $F$  to the optical gel medium in cavity 360 to  
5 affect focusing.

#### Continuous Drift Focus Technique

The entire device 600 of Figure 19 may be incorporated into a barcode scanning system as shown in Figure 21. During its operation, curvature of secondary gel lens surface 362 can be varied on a cyclical basis at a rate less than the scan rate of the beam in order to  
10 provide a continuously moving focus during scanning. This method shall be referred to as "drift focusing" and a beam so focused is said to be "drift focused" as discussed herein. Thus, for example, the focal plane  $f$  of the light source  $S$  may be drift focused back and forth along the  $Z$  axis at a rate of 10 Hz, while the beam scanner scans a beam at 100 scans per second across a target area. In this example, the scanned spot will come into and out of focus many  
15 times at a particular distance down range during a short period of time. When the beam is drift focused at a rate slower than the scan rate, the drift focused spot will come into focus sufficiently to resolve any target along the range between  $f_1$  and  $f_2$  in a very short period of time. This time will be virtually imperceptible from a user perspective and the scanner will essentially seem to have an ideal focus wherever the target is placed. In its simplest form, the

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electromagnetic pressure element need only be driven with single polarity dc current of variable amplitude and in the zero current state gel lens surface 362 may simply be flat.

In another embodiment, the variable gel focus system of the present invention can be controlled by a microprocessor. For example a bar code reader may be constructed in which the focus is drifted once over a maximum range. The microprocessor then determines the best focal range for a target to be read and electrically adjusts the lens for reading in this area and or optimizes the drift rate with respect to the scan rate. The microprocessor makes this determination of the best focal range for a target by monitoring the TTL output of the digitized optical signal output by the photodetector at different ranges and then identifying the focal range where bars/spaces are most clearly present in that output signal.

For very compact rugged systems, magnet 501 and coil 500 may be built right into and substantially surrounded by housing 361. Such a system may be built into a compact aluminum block measuring 0.65 inches long in the direction of axis Z, 0.5 inches wide in the direction of arrow 501, and 0.25 inches thick. The aluminum block has a beam scanning element installed therein; this beam scanning element is .355 inches in diameter. The base 1 of the laser diode source S installed in the aluminum block has a diameter of 0.220 inches.

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### Piezo Electric Pressure Element

Figure 20 depicts a focus system similar to that of Figure 19 but with a piezo electric pressure transducer 370 rather than an electro magnetic one. In this embodiment, a drive voltage is applied to the piezo element and it deflects either up or down as depicted by arrow 5 371. This deflection applies positive or negative pressure to the elastic medium in cavity 360, thereby causing lens surface 362 to change curvature as previously described. Such piezo elements are used in sound generators such as electric buzzers and ultra sound equipment. They take little space, require very little drive energy to operate, respond very rapidly and can be inexpensively fabricated. Suitable piezo transducer elements consist of a 10 flat membrane treated with piezo electric material such as "PZT" which is deformed when a voltage is applied to it. The so-called "bimorph type" elements can provide relatively large deflections for a given drive voltage.

### Alternate Pressure Transducers And Controls

If rapid focus response is not required for focus systems as shown in Figure 18, then 15 the pressure transducer needed to deform the medium in cavity 360 may simply be a resistive element which heats up when current is supplied to it. Heating causes internal pressure in the optical medium due to thermal expansion and the lens surfaces 364 and 362 change curvature.

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It is anticipated that other methods of introducing force  $F$  may be utilized in novel applications of the focus element of Figure 18. Air pressure, water pressure, acceleration, gravity, sound pressure, mechanically, or humanly applied pressure all are well understood and can be adapted to supply distortion forces to the novel gel or liquid lenses disclosed  
5 herein.

#### Ultra Thin Scan Module

Figures 25A and 25B illustrate a thin scan system built from components described herein. Thin scan module 50 contains light source 32 which may be a laser diode or light emitting diode, a surface mount scan mechanism formed of drive element 49 and scan  
10 element 45, a micro lens 34 which may be a GRIN lens modified by chamfering or treated as described earlier (or alternatively, a droplet or gel lens as described above), so as to eliminate the need for bulky separate stop apertures mounts and the like, a photoelectric converter 52, for receiving reflected light and converting it into electrical signals representative of target information.

15 Other optional components may be included such as mirror 38 which may be a beam shaping reflective strip mirror (to be described later) and light filter 51 for eliminating unwanted wavelengths of light. Fixed mirror 38 is optional in that the laser and movable mirror 40 can be arranged to scan a beam across an external target without mirror 38. The scan module 50 is small enough and thin enough to fit into a wristwatch size scanner, a hand

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holdable calculator, computer, or card size scan terminal such as a PCMCIA card scanner or other memory device.

#### Operation And Use Of Thin Scan System

In one embodiment, the thin modular scan system depicted in Figure 25 is configured  
5 as a barcode scanner with thin scan module 50. An internal clock and a memory storage device 55 are provided to record the exact time scanned data is acquired. The data may then be down loaded by means of a connector 34 which may be compatible with PCMCIA card applications.

In another embodiment which is not typically PCMCIA card compatible and thus  
10 saves the space associated with connector 34 and avoids the wear problems associated with such connectors, an optical data transfer link 36 is provided to up load or down load data. This optical link may be a surface mountable light emitting diode and photo transistor to send and receive data respectively. In yet another embodiment light source 32 itself, normally used for scanning, could be modulated, preferably in a low power mode, with the scan device  
15 disabled, to down load its data to an external receiver and the detector 52, normally used to receive scanned data, could be used to receive external data in a non scanning mode.

Figure 22 shows an embodiment and use of a thin portable scanning data collection device 200 utilizing the thin scan module 50 described above. Scanning device 200 is sized

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to be held like a thin pocket size calculator and may be actuated by a simple thumb depressable switch 208. During operation, a user manually moves device 200 by tilting the user's wrist in order to manually raster a beam scanning through angle 58 past bar code 236, thereby generating many scan lines in region 230. For purposes of brevity, this technique shall be referred to as "swipe scanning." Preferably the scanner operates at a rate of about 100 to 200 or more scans per second in this mode. To save power, the scan system need only be momentarily actuated so that the depression of a tactile type or momentary contact switch 208 initiates or actuates a scan sequence which automatically times out. The time out period need only be a fraction of a second. However, during this short time, a target which is swipe scanned will be exposed to numerous good scans. Also, different portions of the target will have been sampled rather than just one region which may contain a print defect. Swipe scanning is also advantageous because it avoids problems associated with specular reflection from the target. These problems are avoided because, as the scanning beam is swipe scanned, the angle at which the plane of the scanned beam is presented to the surface of the target is varied.

It will be understood by those skilled in the art that the thin scan system described above may further include a microprocessor control system (not shown) for manipulating data, controlling the beam scanner, accepting inputs from a keyboard, and displaying and transferring data.

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### Sonic Actuation Of Scanner

In another embodiment, a scanner such as scanner 200 of Figure 22 may be turned on or actuated by movement of the device itself. A method of sonic triggering has been invented to accomplish this function.

5        For sonic or acoustic actuation, microphone element 60 is enclosed in the housing of the scanner as shown in Figure 25A. When the outside of the scanner is tapped or rubbed with a finger, for example, in order to reach an internal pre-set acoustic threshold, the scanner 200 turns on for a timed period lasting a second or less. This technique is immune to false triggering due to movement alone. The sonic actuator can be enhanced further by including a  
10    small particle near the microphone element 60 so that when scanner 200 is moved with a certain motion, the particle creates an internal sound much like a rattle, which is sensed by the internal microphone element 60, thereby turning the scanner 200 on. This arrangement may be constructed to respond only to certain movements of minimum threshold strength providing immunity to false actuation of the scanner. Appropriate acoustic or electronic  
15    filters may also be employed to eliminate unwanted turn on due to external noise.

The microphone element in the housing is preferably of the electret type such as that manufactured by the Panasonic Company under P/N WM-62A, for example. Only the diaphragm element in the microphone itself 60 need be applied to the circuit board and can be stretched over a hole in the printed circuit board for minimal space packaging.



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Figure 27a illustrates a microphone element 110 with trapped particle 104. Retainer shell 103 holds the particle near the microphone element in order to form a rattle when element 110 is moved. Hole 105 allows external sound from a finger tap or rub applied to the outside housing of a scan device such as the one in Figure 22 numbered 200. When  
5 acoustic actuator 110 of Figure 27a is moved so that particle 104 moves in the direction of arrow 107, particle 104 taps microphone diaphragm 106 or the opposite end of the retainer 103, and sound is generated. The side walls of retainer 103 can be made from a soft sound deadening material such as plastic foam to preclude actuation from side thrust motion if desired. If particle 104 is not included in acoustic actuator 110, then actuator 110 becomes  
10 motion insensitive and only responsive to a tap or rub. Acoustic actuator 110 is suitable for many forms of scanners, especially portable ones shaped like a pen, a wand or a thin calculator type scanner.

In very small portable equipment such as illustrated in Figures 22 and 25A, it is advantageous to save space by forming an acoustic sensor by attaching an acoustic element  
15 directly to a printed circuit board. This embodiment is shown in Figure 27b, where a film electret element 112 is shown to interface to a circuit board 116. Metalized hole 115 forms one electrical contact to element 112, while the other contact is provided by metalized circuit pad 114. Folded tab 113 which is part of element 112 electrically contacts metal circuit trace 114. Thin adhesive tape, or conductive bonding cement can be applied to hold the electret

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element 112 in place. It has also been found that piezo electric film such as KYNAR® (poly vinyl fluoride) made by the Pennwalt Co. can function as a suitable acoustic element 112.

Figure 26 illustrates a circuit which was developed to respond to the acoustic actuator, shown in Figures 27a and 27b. U7A comprises a high impedance CMOS amplifier and the circuit associated with U7B functions as a "turn on"/"time out" circuit that only turns on when it receives a relatively fast pulse signal from amplifier U7A. The TLC2272 amplifier made by Texas Instruments may be used for amplifiers U7A and U7B. R65 and C62 function as the timing elements. When the microphone element 110 receives sound of sufficient level (for example, the sound level generated by externally tapping a housing that includes element 110), the output collector of Q8 goes low thereby enabling a scanner to operate until the time-out cycle completes. In a preferred embodiment, the time-out cycle is less than one second in duration. The time-out circuit has been derived from one shown in "THE CMOS COOK BOOK" by Don Lancaster.

#### Surface Mount Scan Mechanism

Surface mountable components are generally miniature electronic components that are mountable directly onto a printed circuit board surface. Figures 24a and 24b illustrate a surface mountable scan mechanism small enough to mount into a space only 1 or 2 millimeters high. The surface mountable scan device is formed from a surface mountable driver 49 and surface mountable scan element 45. Driver 49 contains a magnetic core 71,

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preferably made from ferrite or iron and is shaped like a small bobbin to hold wire. A coil 70 is wrapped about the core. In one embodiment, 700 turns of 50 gage magnet wire formed coil 70. Attached to the ends of the core 70 are magnetic pole pieces 73a and 73b which are formed from soft iron sheet, permalloy, or similar alloys and are preferably on the order of 4 to 10 mils thick. The pole pieces 73a and 73b are preferably shaped to provide wire terminals 74a and 74b for internal connection of wires from coil 70, solderable feet 72a and 72b for mechanically mounting and electrically connecting the driver to a circuit board which supplies a source of drive current, and magnetic flux concentrating ends 77a and 77b respectively. The entire driver 49 with only its solderable feet 72a and 72b exposed, is encapsulated in solid plastic such as epoxy which is able to withstand soldering and cleaning processes.

Driver 49 is preferably mounted directly to a printed circuit board by soldering the feet 72a and 72b to pads on the board. Driver 49 is preferably positioned proximate to scan element 45, as shown in Figures 24a and 24b.

Scan element 45 (which holds mirror 40) is formed of a mirror mount 41 that is made from a plastic having a low coefficient of friction such as acetyl. Mirror mount 41 is rotatably mounted on pin 42 which is preferably made from non-magnetic, non-corroding metal such as stainless steel or titanium. Pin 42 may be automatically inserted onto circuit board 53 as shown in Figure 25a. Scan element 45 also holds a tiny magnet 43 which may be

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on the order of a cubic millimeter in volume. Magnet 43 interacts with field lines 76 which emanate from driver pole ends 77a and 77b when coil 70 is energized with alternating current thereby causing scan element 45 to dither mirror 40 about the angle depicted by arrow 56, thereby scanning a light beam. It should be noted that pole ends 77a and 77b act like the  
5 poles of a tape recording head to project a magnetic field outwardly. The light beam then emanates from port 11, scanning through angle 58 of scan module 50 shown in Figure 25B.

For small scan angles on the order of 20 degrees (+/- 5 degrees mechanical) scan element 45 can work ballistically, that is without a return mechanism other than the magnetic forces generated at pole ends 77a and 77b and the alternating fields produced by them. For  
10 larger scan angles or linear operation at a frequency below a natural resonant frequency or for operation at high resonant frequencies, return magnets 44a and 44b (shown in Figure 24b) are mounted to the board with polarization so that they act to repel magnet 43 as it alternately swings toward them. Scan speeds in excess of 200 scans per second may be achieved using this arrangement. Return magnets 44a and 44b are small and may be relatively weak. They  
15 may be the inexpensive rubberized or plastic filled type. Magnets 44a and 44b or some other mechanical stops may be placed to prevent an appropriately shaped mirror mount 41 from rotating completely out of range of pole ends 77a and 77b.

Figure 24c shows a further embodiment of a surface mount scan mechanism in accordance with the present invention, wherein the scan mechanism is a unitary structure that

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can be fabricated with an over all vertical height of about 2 millimeters. Liquid Crystal Polymer plastic, which has a low coefficient of friction, can withstand soldering and cleaning processes, and can be molded in intricate thin sections, is an ideal choice for containing the surface mountable scan device of Figure 24c. Appropriate molded stops can be included in the structure shown in Figure 24c, and repulsion magnets such as magnets 44a and 44b may be glued to outer shaft holding tabs 130 and 131.

#### Reflective Strip Beam Shaper

Figure 23 shows a scan system utilizing a reflective strip beam shaper rather than an aperture or other beam shaping device. A light source 4 forms a beam 80 which has been focused by lens 308. Beam 80 is reflected from a mirror strip 81 which may be on the order of a millimeter or less. Only a portion of the beam reflects from strip mirror 81 providing an improved depth of operating range for a beam or beam scanner. The reflected beam 87 may then be scanned by moving mirror 86, thereby scanning a thin narrow spot 89 across a target such as bar code target 93. In another embodiment, beam 80 may be an elliptical beam from a laser diode and after reflection from mirror 81, the beam can be shaped to become somewhat rectangular in the target vicinity.

In yet another embodiment, a photoresist pattern may be directly applied in a step and repeat fashion to a large thin sheet of mirror stock. After developing the photo resist pattern, the sheet may be diced into many discrete mirrors; each with its photo resist pattern. Such

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processing is common in the manufacture of semiconductors and is much less expensive than specially shaped lenses. The pattern on each mirror so produced may be fashioned to impart the desired beam shaping effect. For example, it may be a diffractive pattern, it may be developed in stages or partially exposed and developed to effect a frosty or light scattering area, it may be dyed to impart light absorbing regions, or it may be a holographic pattern like the lens treatments described earlier in the present specification. The treated mirrors can be made very small so they can be fit into extremely small devices such as the thin scan module 50 described above.

While particular embodiments of the present invention have been illustrated and described herein, it is not intended to limit the invention and changes may be made therein and still remain within the spirit of the following claims.

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What is claimed is:

1. An optical system for distinguishing features of a target, comprising:  
  
a light source located at a source position for generating a plurality of  
5 light rays emanating from said source position;  
  
a lens positioned in front of said light source position, said lens having  
a first surface for receiving light rays emanating from said source position and a second  
surface, opposite said first surface, for outputting said received light rays, said first and  
second surfaces being separated by a thickness; and  
10 said second surface having a first clear area for passing a first group of  
said light rays through said lens, said second surface further including a treated area separate  
from said first clear area.  
  
2. The optical system of claim 1, wherein said treated area scatters a second  
group of said light rays passing through said thickness.  
  
15 3. The optical system of claim 1, wherein said treated area attenuates a second  
group of said light rays passing through said thickness.

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4. The optical system of claim 3, wherein said treated area is an opaque area that blocks said second group of light rays from passing through said thickness.

5. The optical system of claim 1, wherein said treated area forms a second clear area different from said first clear area, wherein said first and second clear areas are  
5 separated by a step on said second surface, and wherein said second clear area focuses a second group of said light rays passing through said thickness.

6. The optical system of claim 1, wherein said treated area on said second surface is superimposed against a clear layer positioned between said first surface and said treated area on said second surface.

10 7. The optical system of claim 6, wherein said clear layer is formed of material selected from the group consisting of glass or plastic.

8. The optical system of claim 7, wherein said treated area is formed of a frosted tape affixed against said clear layer.

9. The optical system of claim 7, wherein said treated area is formed of a  
15 surface on said clear layer that has been ground.



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10. The optical system of claim 9, wherein said lens is a gradient index lens, and wherein said treated area corresponds to a chamfered rim area on an end of said gradient index lens.
11. The optical system of claim 7, wherein said treated area is formed of a surface on said clear layer that has been etched.
12. The optical system of claim 7, wherein said treated area is formed of photoresist material deposited on said clear layer.
13. The optical system of claim 12, wherein said photoresist material has been dyed.
14. The optical system of claim 1, further comprising a housing having a first opening for receiving said lens and a second opening for receiving said light source, said lens being disposed in said first opening and said light source being disposed in said second opening.
15. The optical system of claim 14, further comprising a sleeve disposed around said light source for positioning said light source within said second opening.

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16. The optical system of claim 14, further comprising a focusing pin positioned within said housing and adjacent to said thickness of said lens for positioning said lens within said housing.

17. The optical system of claim 1, wherein said target represents a bar code symbol and said lens is a converging lens, said system further comprising a beam scanner for scanning a light beam formed of said first group of light rays along said bar code symbol, and a photoelectric converter for receiving light reflected from said bar code symbol and converting said received light into electrical signals.

18. The system of claim 17, wherein said lens is positioned between said light source and said beam scanner.

19. The system of claim 18, further comprising an aperture window positioned between said light source and said lens.

20. A method for treating an optical lens, comprising the steps of:

(A) providing a lens substrate formed of clear material having a first surface, a second surface opposite said first surface, and a thickness represented by a distance between said first and second surfaces; and

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(B) after step (A), forming a treated area on a portion of said second surface of said clear material;

wherein, after said forming step (B), said second surface includes said treated area and a separate first clear area different from said treated area.

5                   21. The method of claim 20, wherein said treated area scatters light passing through said thickness.

22. The method of claim 20, wherein said treated area attenuates light passing through said thickness.

23. The method of claim 22, wherein said treated area is an opaque area that  
10 blocks light from passing through said thickness.

24. The method of claim 20, wherein said treated area forms a second clear area different from said first clear area, wherein said first and second clear areas are separated by a step on said second surface.

25. The method of claim 20, wherein said clear material is selected from  
15 the group consisting of glass or plastic, and said lens substrate is formed of a converging lens.

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26. The method of claim 25, wherein said step of forming said treated area on said portion of said second surface of said clear material comprises affixing a frosted tape material to said second surface of said clear material.

27. The method of claim 25, wherein said step of forming said treated area  
5 on said portion of said second surface of said clear material comprises grinding said portion of said second surface of said clear material.

28. The method of claim 27, wherein said lens substrate is formed of a gradient index lens, and wherein said treated area corresponds to a chamfered rim area on an end of said gradient index lens.

10 29. The method of claim 25, wherein said step of forming said treated area on said portion of said second surface of said clear material comprises etching said portion of said second surface of said clear material.

30. The method of claim 25, wherein said step of forming said treated area on said portion of said second surface of said clear material comprises applying a photoresist  
15 material to said portion of said second surface of said clear material.

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31. The method of claim 30, wherein said step of forming said treated area on said portion of said second surface of said clear material further comprises dying said photoresist material.

32. The method of claim 20, wherein said lens substrate is circular in shape and said treated area is positioned adjacent to an outer perimeter of said lens substrate.

33. The method of claim 32, wherein said first clear area on said second surface is positioned adjacent to said treated area.

34. The method of claim 20, further comprising the steps of disposing said lens substrate in a housing having a first opening for receiving said lens substrate, and disposing a light source in a second opening in said housing.

35. The method of claim 34, wherein a sleeve is positioned around said light source, said method further comprising focusing said light source by positioning said light source within said second opening.

36. The method of claim 34, wherein a focusing pin is positioned within said housing adjacent to said thickness of said lens, said method further comprising focusing said light source by rotating said focusing pin.

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37. The method of claim 34, further comprising the step of disposing a beam scanner for scanning a light beam within said housing.

38. The method of claim 37, wherein said lens substrate is disposed between said light source and said beam scanner.

5           39. An optical system for distinguishing features of a target, comprising:  
  
a light source located at a source position for generating a plurality of light rays emanating from said source position; and  
  
an aperture window positioned in front of said light source position, said aperture window having an open hole for passing a first group of said light rays through  
10 said aperture window, a clear portion formed of a clear material for passing a second group of said light rays through said aperture window, and a translucent portion for scattering a third group of said light rays as said third group of light rays passes through said aperture window.

40. The optical system of claim 39, said aperture window having a first surface for receiving light rays emanating from said source position and a second surface, opposite said first surface, for outputting said received light rays, wherein said second surface  
15 has a clear area for passing said second group of said light rays through said aperture window, and wherein said second surface has a translucent area for scattering said third group of said light rays as said third group of light rays passes through said aperture window.

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41. The optical system of claim 40, wherein said translucent area on said second surface is superimposed against a clear layer positioned between said first surface and said translucent area on said second surface.

42. The optical system of claim 41, wherein said clear layer is formed of said clear material.

43. The optical system of claim 42, wherein said clear material is selected from the group consisting of glass or plastic.

44. The optical system of claim 43, wherein said translucent area is formed of a frosted tape affixed against said clear layer.

45. The optical system of claim 43, wherein said translucent area is formed of a surface on said clear layer that has been ground.

46. The optical system of claim 43, wherein said translucent area is formed of a surface on said clear layer that has been etched.

47. The optical system of claim 43, wherein said translucent area is formed of photoresist material deposited on said clear layer.

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48. The optical system of claim 39, wherein said target represents a bar code symbol, said system further comprising a beam scanner for scanning a light beam formed of said first and second groups of light rays along said bar code symbol, and a photoelectric converter for receiving light reflected from said bar code symbol and converting  
5 said received light into electrical signals.

49. The system of claim 48, wherein said aperture window is positioned between said light source and said beam scanner.

50. The system of claim 49, further comprising a converging lens positioned between said aperture window and said beam scanner for converging said first  
10 and second groups of light rays at an end of said beam scanner.

51. A method for forming an aperture window, comprising the steps of:

(A) providing a substrate formed of clear material having a first surface, a second surface opposite said first surface, and a thickness represented by a distance between said first and second surfaces;

15 (B) forming an open hole in said substrate, said open hole passing through said thickness of said substrate; and



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(C) after step (A), forming, on a portion of said second surface of said clear material, a light scattering area for scattering light passing through said thickness;

wherein, after said forming step (C), said second surface includes said light scattering area and a clear area different from said light scattering area.

5                   52. The method of claim 51, wherein said clear material is selected from the group consisting of glass or plastic.

53. The method of claim 52, wherein said step of forming said light scattering area on said portion of said second surface of said clear material comprises affixing a frosted tape material to said second surface of said clear material.

10                   54. The method of claim 52, wherein said step of forming said light scattering area on said portion of said second surface of said clear material comprises grinding said portion of said second surface of said clear material.

55. The method of claim 52, wherein said step of forming said light scattering area on said portion of said second surface of said clear material comprises etching  
15 said portion of said second surface of said clear material.

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56. The method of claim 52, wherein said step of forming said light scattering area on said portion of said second surface of said clear material comprises applying a photoresist material to said portion of said second surface of said clear material.

57. The method of claim 51, wherein said substrate is circular in shape and  
5 said open hole formed in step (B) passes through a center of said substrate.

58. The method of claim 57, wherein said clear area on said second surface is positioned between said open hole and said light scattering area.

59. A lens system for focusing a light beam, comprising:  
  
a shell which includes a first liquid bounding surface having a first  
10 width, a second surface having a second width, and an open hole spanning between said first liquid bounding surface and said second surface, said open hole having a third width that is smaller than said first and second widths; and

a cured resin material positioned against said first liquid bounding surface and throughout said open hole;

15 wherein said cured resin material includes a first curved lens surface that is positioned against said first liquid bounding surface and which spans said first width.

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60. The lens system of claim 59, wherein said first liquid bounding surface is a first raised surface, said second surface is a second raised surface, and wherein said cured resin material is further positioned against said second raised surface.

61. The lens system of claim 60, wherein said cured resin material further  
5 includes a second curved lens surface that is positioned against said second raised surface and which spans said second width.

62. The lens system of claim 61, wherein said first width is different from said second width.

63. The lens system of claim 61, wherein said first raised surface is  
10 circular and said first width corresponds to a first diameter, said second raised surface is circular and said second width corresponds to a second diameter, and said open hole is cylindrically shaped and said third width corresponds to a third diameter.

64. The lens system of claim 63, wherein said first diameter is between 1.0 and 5.0 millimeters in length, said second diameter is between 1.0 and 5.0 millimeters in  
15 length, and said third diameter is between 0.5 and 2.0 millimeters in length.

65. The lens system of claim 59, wherein said shell is formed of a translucent material for scattering light passing through said translucent material.

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66. The lens system of claim 59, wherein said shell has a first index of refraction, said cured resin material has a second index of refraction, and said first index of refraction is greater than said second index of refraction.

5 67. The lens system of claim 59, wherein said shell has a first index of refraction, said cured resin material has a second index of refraction, and said second index of refraction is greater than said first index of refraction.

68. A method for making a lens system for focusing a light beam, comprising the steps of:

10 (A) providing a shell having a first liquid bounding surface having a first width, a second surface having a second width, and an open hole spanning between said first liquid bounding surface and said second surface, said open hole having a third width that is smaller than said first and second widths;

(B) depositing a droplet of curable liquid resin on top of said first liquid bounding surface and allowing a portion of said droplet to flow through said open  
15 hole; and

(C) curing said curable liquid to form a cured resin material positioned against said first liquid bounding surface and throughout said open hole;

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wherein said cured resin material includes a first curved lens surface that is positioned against said first liquid bounding surface and which spans said first width.

69. The method of claim 68, wherein step (C) comprises exposing said curable liquid resin to ultraviolet light.

5                   70. The method of claim 68, wherein first liquid bounding surface is a first raised surface, said second surface represents a second raised surface, wherein step (B) further includes wetting said second raised surface with said curable liquid resin, and wherein said cured resin material is further positioned against said second raised surface after step (C).

10                   71. The method claim 70, wherein said cured resin material further includes a second curved lens surface that is positioned against said second raised surface and which spans said second width.

72. The method of claim 71, wherein said first width is different from said second width.

15                   73. The method of claim 71, wherein said first raised surface is circular and said first width corresponds to a first diameter, said second raised surface is circular and

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said second width corresponds to a second diameter, and said open hole is cylindrically shaped and said third width corresponds to a third diameter.

74. The method of claim 73, wherein said first diameter is between 1.0 and 5.0 millimeters in length, said second diameter is between 1.0 and 5.0 millimeters in length, and said third diameter is between 0.5 and 2.0 millimeters in length.

75. The method of claim 68, wherein said shell is formed of a translucent material for scattering light passing through said translucent material.

76. The method of claim 68, wherein said shell has a first index of refraction, said cured resin material has a second index of refraction, and said first index of refraction is greater than said second index of refraction.

77. The method of claim 68, wherein said shell has a first index of refraction, said cured resin material has a second index of refraction, and said second index of refraction is greater than said first index of refraction.

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78. A system for focusing a light beam, comprising:

a light source located at a source position for generating a plurality of  
light rays emanating from said source position; and

a housing having a sealed cavity filled with a pliable gel for receiving  
5 and focusing said light rays from said light source.

79. The system of claim 78, said housing having a first opening for  
receiving a lens into said housing, and wherein said lens is disposed within said first opening.

80. The system of claim 79, wherein said lens is a gradient index lens.

81. The system of claim 79, wherein said lens has a first end surface for  
10 receiving light from said light source and a second end surface that is positioned opposite  
from said first end surface, said second end surface having a clear area and a treated area that  
is separate from said clear area.

82. The system of claim 81, wherein said treated area scatters light  
received from said light source.

83. The system of claim 81, wherein treated area attenuates light received  
15 from said light source.

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84. The system of claim 83, wherein said treated area blocks light received from said light source.

85. The system of claim 81, said housing having a second opening for receiving said light source into said housing, said light source being disposed within said  
5 second opening.

86. The system of claim 85, wherein said light source is disposed adjacent to said first end surface of said lens in said housing.

87. The system of claim 85, wherein a sleeve is positioned between said light source and said second opening.

10 88. The system of claim 85, said cavity having a first end for receiving light rays exiting said second end surface of said lens and a second end for outputting said light rays from said cavity, said system further comprising a hard lens positioned at said second end of said cavity.

89. The system of claim 88, wherein said hard lens is formed of a droplet  
15 of cured liquid resin.



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90. The system of claim 85, said cavity having a first end for receiving light rays exiting from said second end surface of said lens and a second end for outputting said light rays from said cavity, said system further comprising a first transparent flexible diaphragm positioned at said second end of said cavity.

5 91. The system of claim 90, said cavity further having a third end covered with a second flexible diaphragm for applying pressure to said pliable gel in said cavity.

92. The system of claim 91, wherein said first and second flexible diaphragms are coupled by said pliable gel such that deformation of said second flexible diaphragm causes a corresponding deformation in said first flexible diaphragm.

10 93. The system of claim 92, further comprising an electro-mechanical actuator for applying a force to said second flexible diaphragm.

94. The system of claim 93, wherein said first flexible diaphragm becomes convex in shape when a positive force is applied to said second flexible diaphragm by said electro-mechanical actuator.

15 95. The system of claim 94, wherein said first flexible diaphragm becomes concave in shape when a negative force is applied to said second flexible diaphragm by said electro-mechanical actuator.

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96. The system of claim 95, wherein said first flexible diaphragm is flat and has no curvature when said electro-mechanical actuator applies no force to said second flexible diaphragm.

97. The system of claim 78, wherein said pliable gel is formed of silicone  
5 gel.

98. A method for making a light beam focusing system, comprising the steps of:

(A) providing a light source located at a source position for generating a plurality of light rays emanating from said source position;

10 (B) providing a housing having a hollow cavity;

(C) filling said hollow cavity with a pliable gel for receiving and focusing said light rays from said light source; and

(D) sealing said pliable gel in said hollow cavity.

99. The method of claim 98, further comprising the step of curing said  
15 pliable gel after said filling step (C).

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100. The method of claim 98, said housing having a first opening for receiving a lens into said housing, said method further comprising the step of disposing said lens within said first opening.

101. The method of claim 100, wherein said lens is a gradient index lens.

5 102. The method of claim 100, said housing having a second opening for receiving said light source into said housing, said method further comprising disposing said light source within said second opening.

103. The method of claim 102, wherein a sleeve is positioned between said light source and said second opening.

10 104. The method of claim 103, further comprising the step of focusing said light source by positioning said light source within said second opening.

105. The method of claim 102, wherein a focusing pin is positioned within said housing adjacent to said lens, said method further comprising focusing said light source by rotating said focusing pin.

15 106. The method of claim 105, further comprising the step of disposing a beam scanner for scanning a light beam within said housing.

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107. The method of claim 106, wherein said lens is disposed between said light source and said beam scanner in said housing.

108. A sonic actuation system for actuating a bar code scanner, comprising:  
a microphone element; and

5 a circuit, coupled to an output of said microphone element, for actuating said bar code scanner when said microphone element receives an acoustic signal that exceeds a threshold level.

109. The system of claim 108, wherein said circuit actuates said bar code scanner for a predetermined period of time when said microphone element receives said  
10 acoustic signal that exceeds said threshold level.

110. The system of claim 109, wherein said predetermined period of time is less than one second.

111. The system of claim 109, further comprising a retaining shell and a particle disposed within said retaining shell for generating a sound that exceeds said  
15 threshold level when said particle contacts said retaining shell.

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112. The system of claim 111, wherein said retaining shell has an opening for emitting said sound, and said microphone element is disposed adjacent to said opening.

113. The system of claim 112, wherein said retaining shell, said particle and said circuit are all disposed on a single circuit board.

5 114. A method for actuating a bar code scanner with an acoustic signal, comprising the steps:

(A) providing a microphone element;

(B) providing a circuit, coupled to an output of said microphone element, for actuating said bar code scanner when said microphone element receives an  
10 acoustic signal that exceeds a threshold level; and

(C) actuating said bar code scanner by generating said acoustic signal.

115. The method of claim 114, said bar code scanner being disposed in a housing, wherein said generating step comprises rubbing an outside surface of said housing.

116. The method of claim 114, said bar code scanner being disposed in a  
15 housing, wherein said generating step comprises tapping an outside surface of said housing.

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117. The method of claim 114, wherein said actuating step actuates said bar code scanner for a predetermined period of time after said acoustic signal is generated.

118. The method of claim 117, wherein said predetermined period of time is less than one second.

5 119. The method of claim 114, further comprising the steps of providing a retaining shell and disposing a particle within said retaining shell.

120. The method of claim 119, wherein said generating step comprises contacting said particle against said retaining shell.

10 121. The method of claim 120, wherein said retaining shell has an opening for emitting said sound, and said microphone element is disposed adjacent to said opening.

122. The method of claim 121, wherein said retaining shell, said particle and said circuit are all disposed on a single circuit board.

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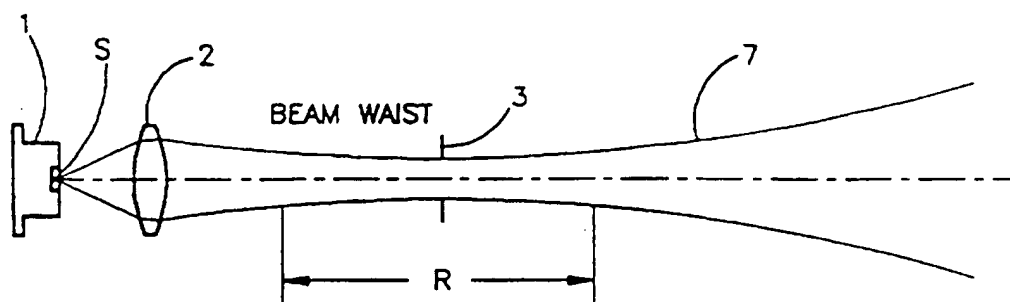


FIG. 1

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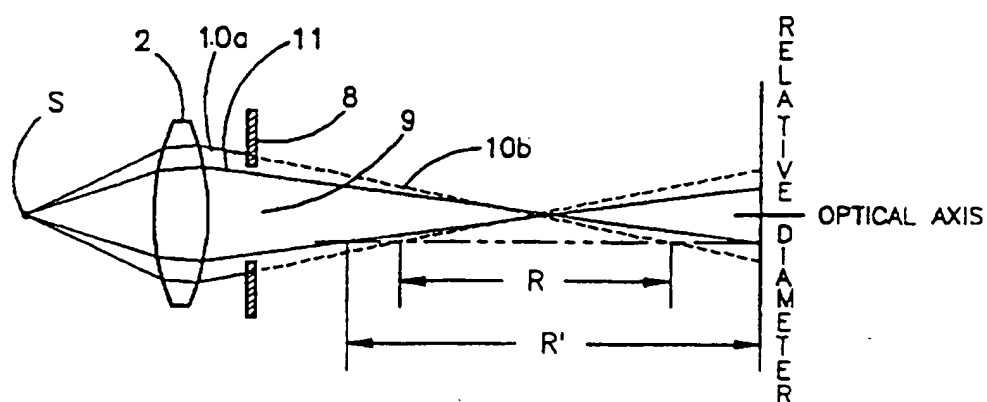


FIG. 2

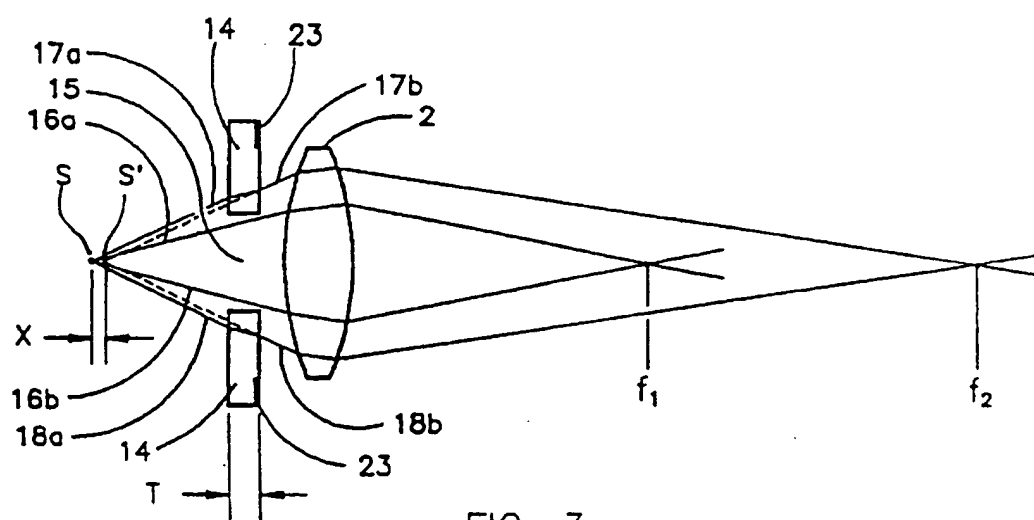


FIG. 3



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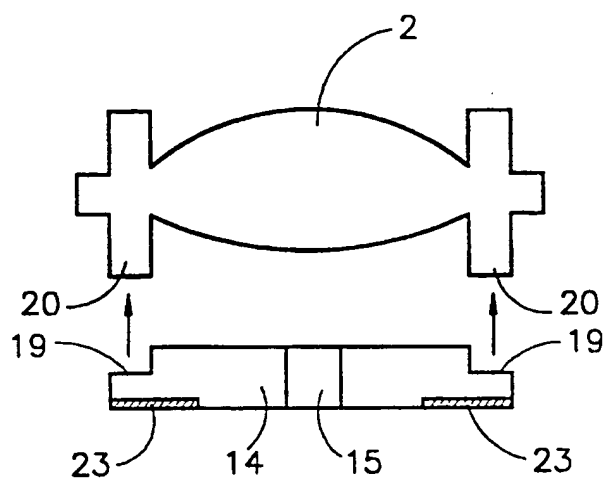


FIG. 4

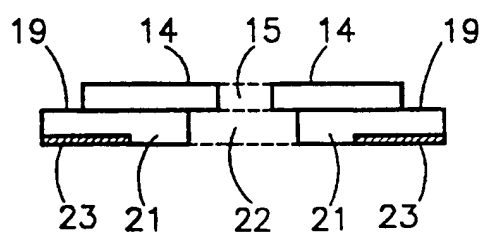


FIG. 5

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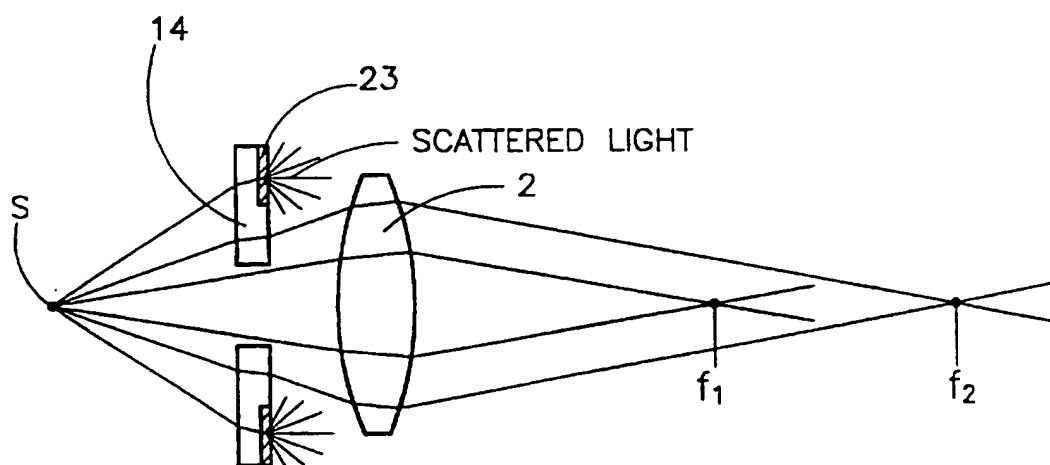


FIG.6

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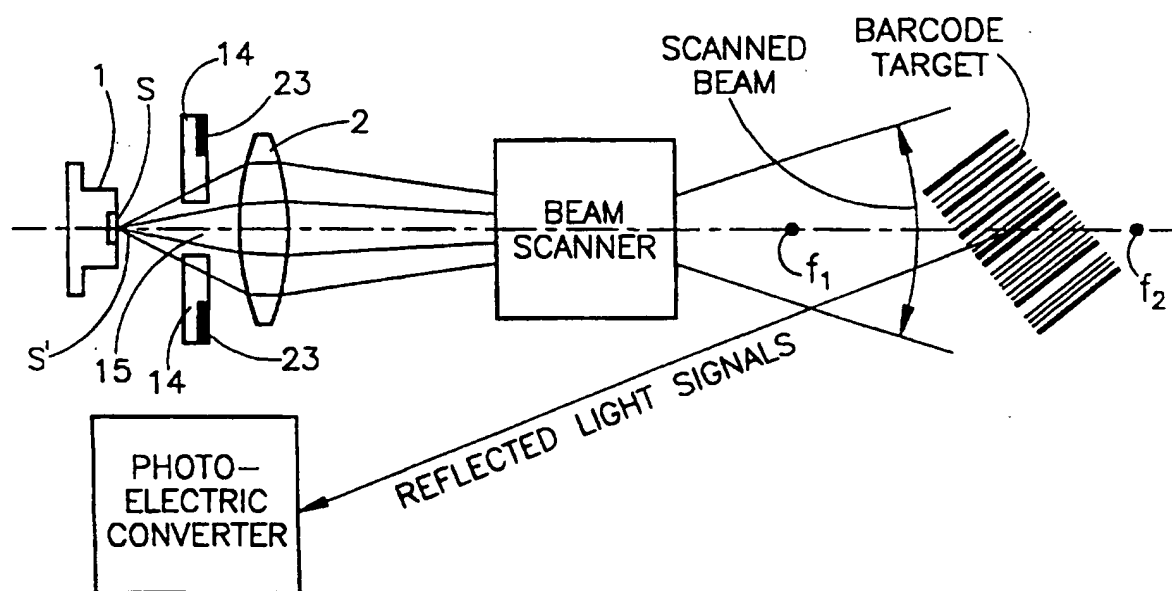


FIG. 7

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FIG. 8a

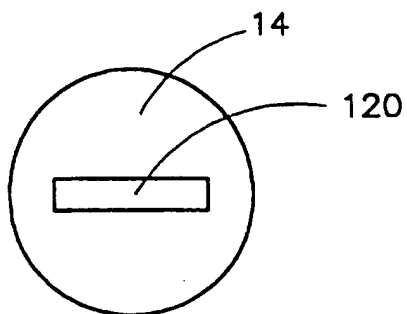


FIG. 8b

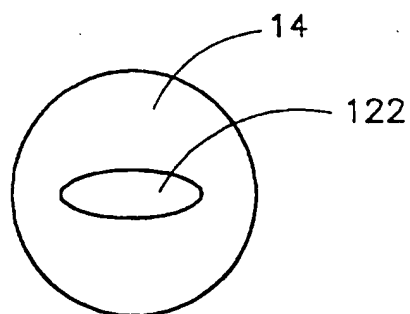


FIG. 8c

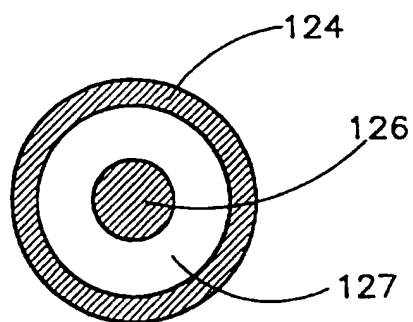
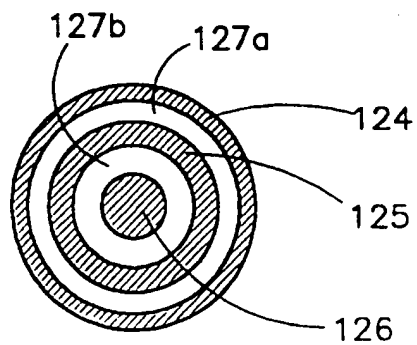


FIG. 8d



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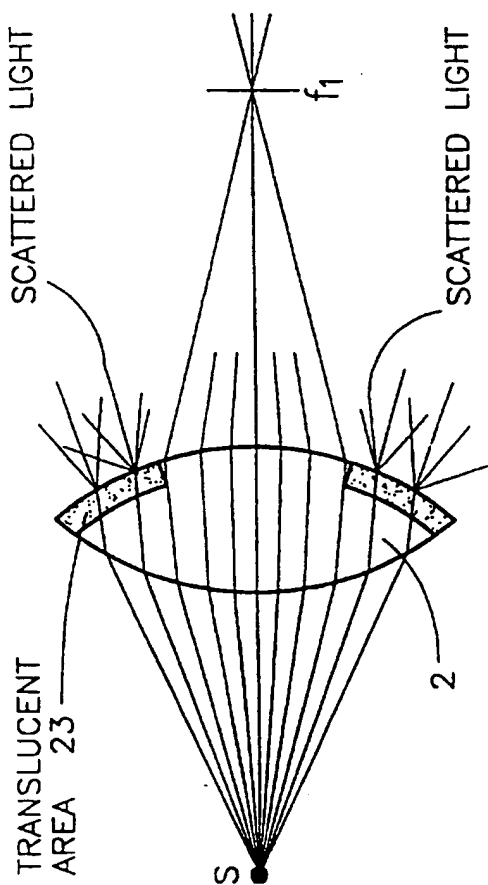


FIG. 9

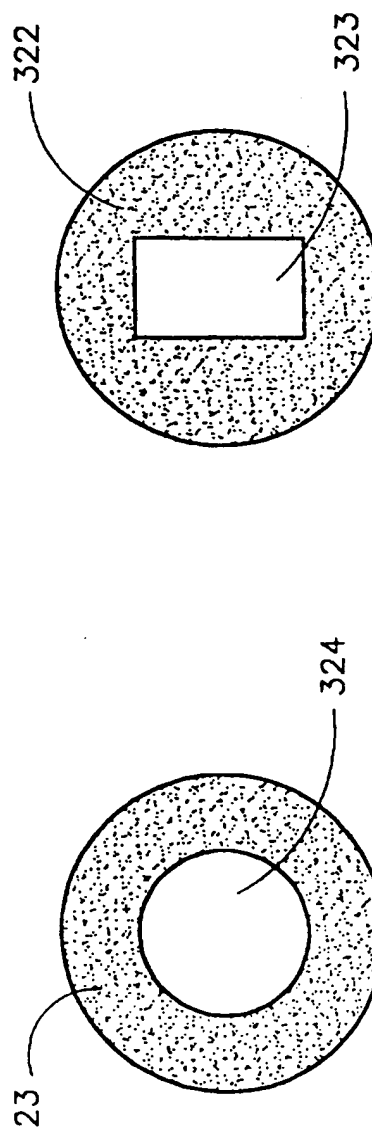


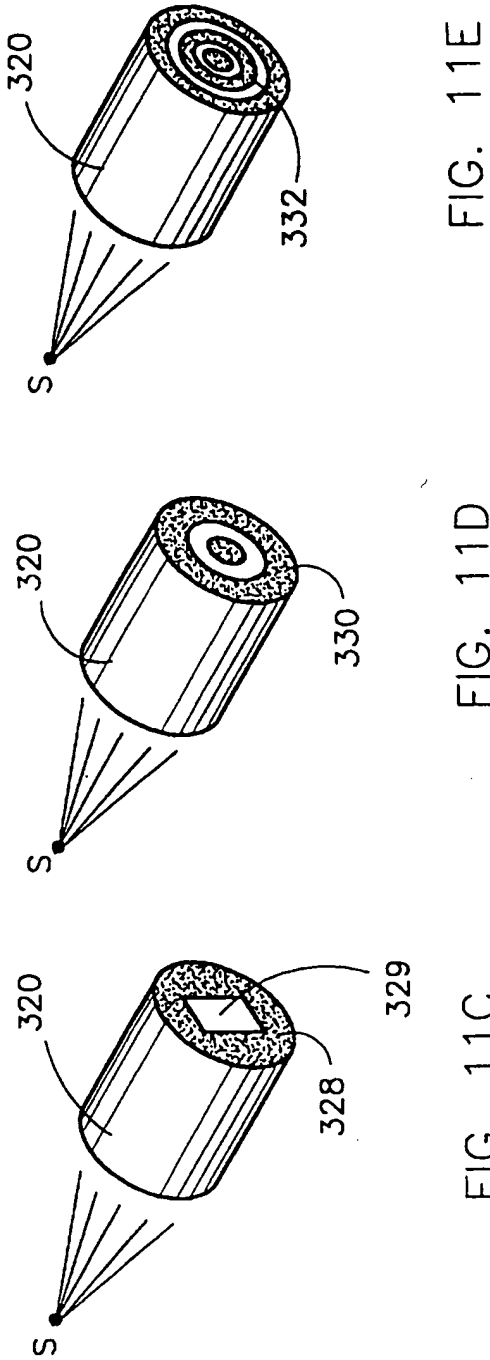
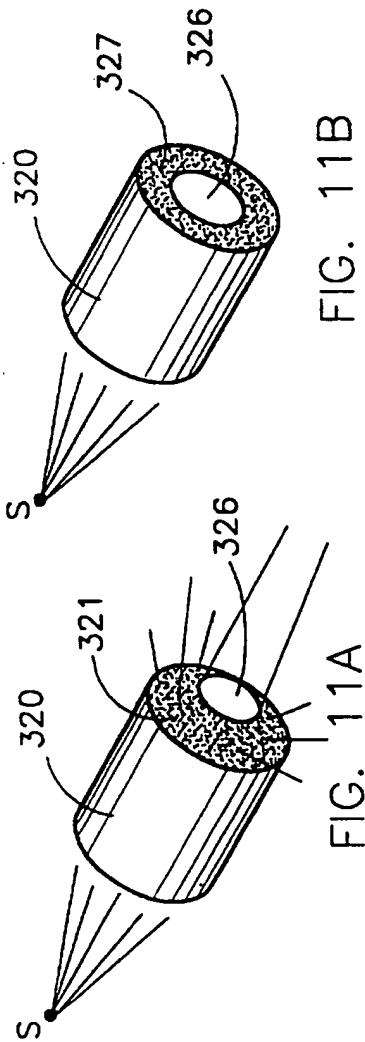
FIG. 10A

FIG. 10B

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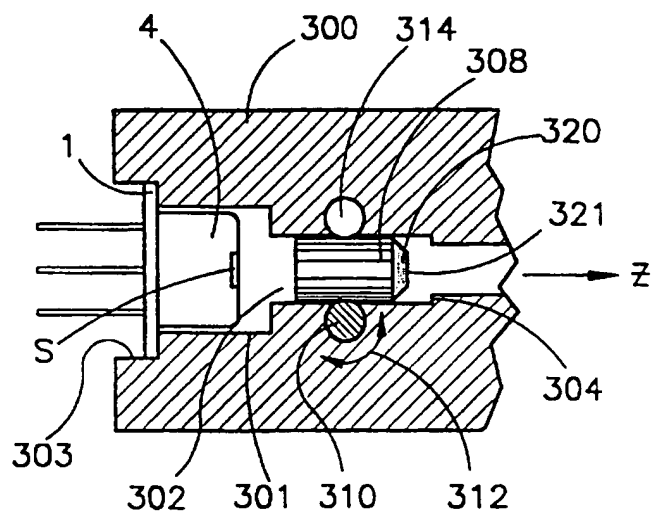


FIG. 12

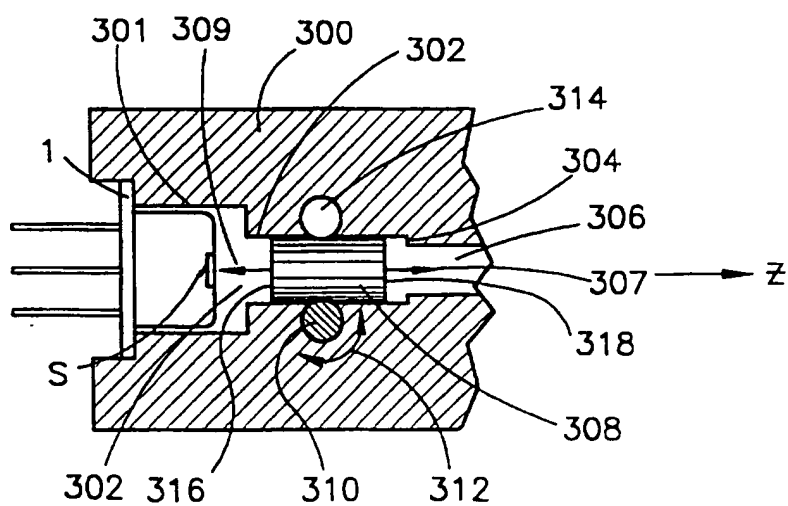


FIG. 13

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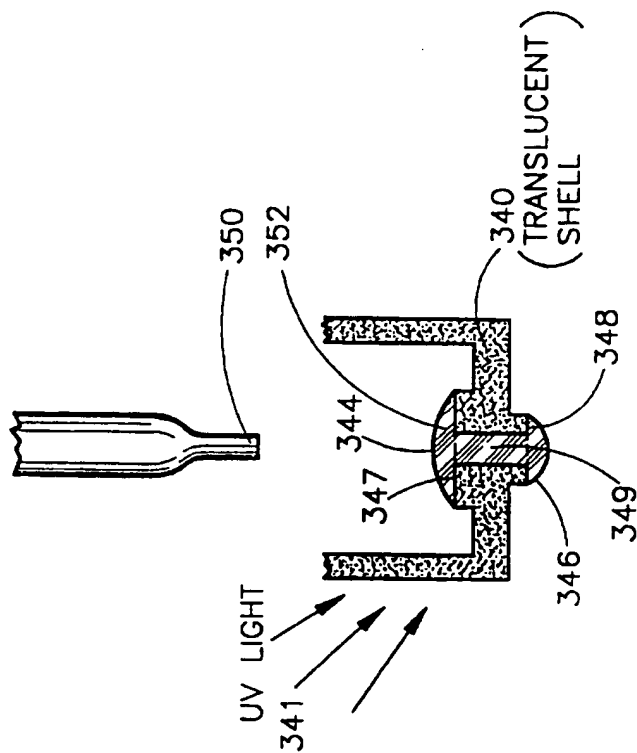


FIG. 15

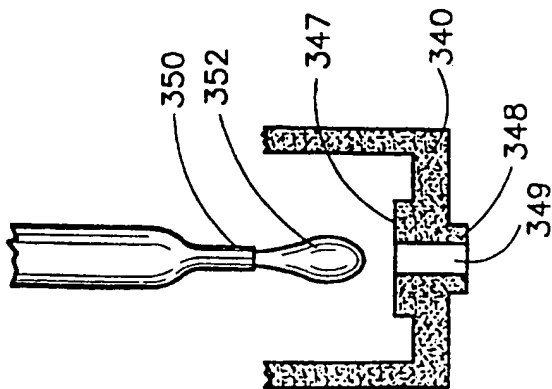


FIG. 14



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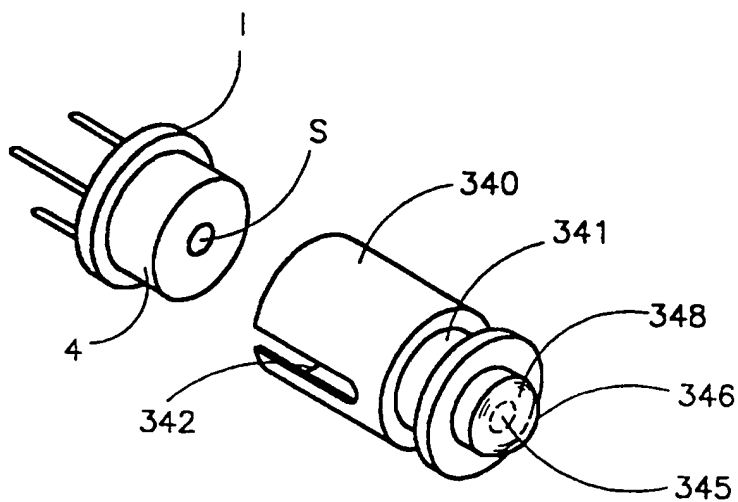


FIG. 16

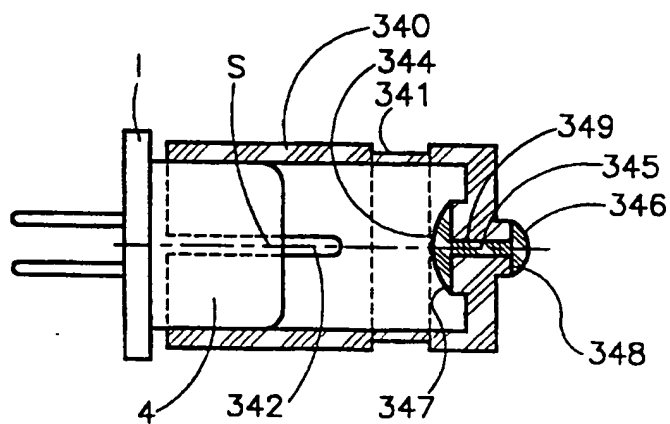
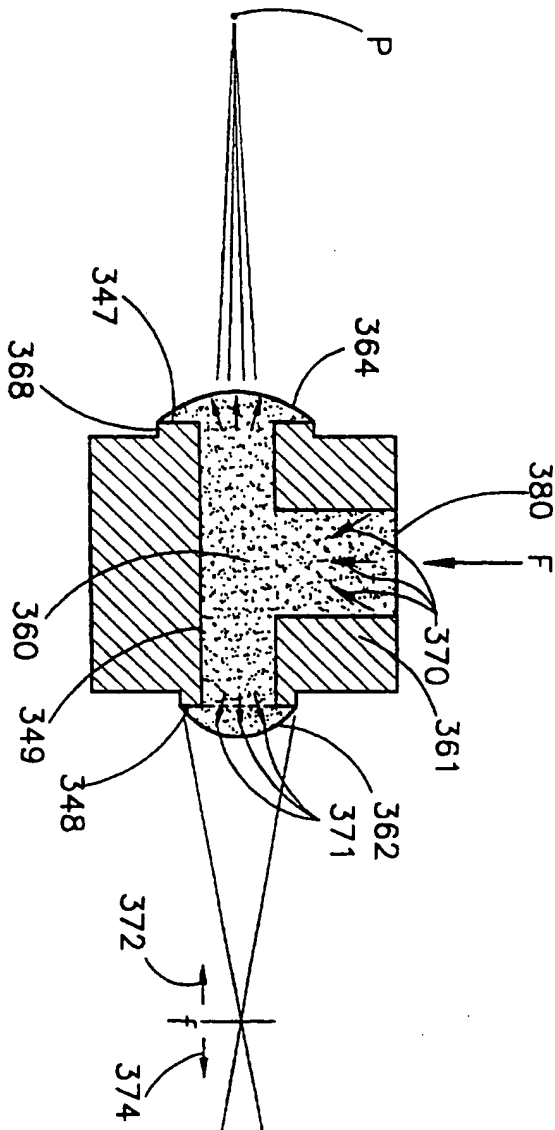


FIG. 17

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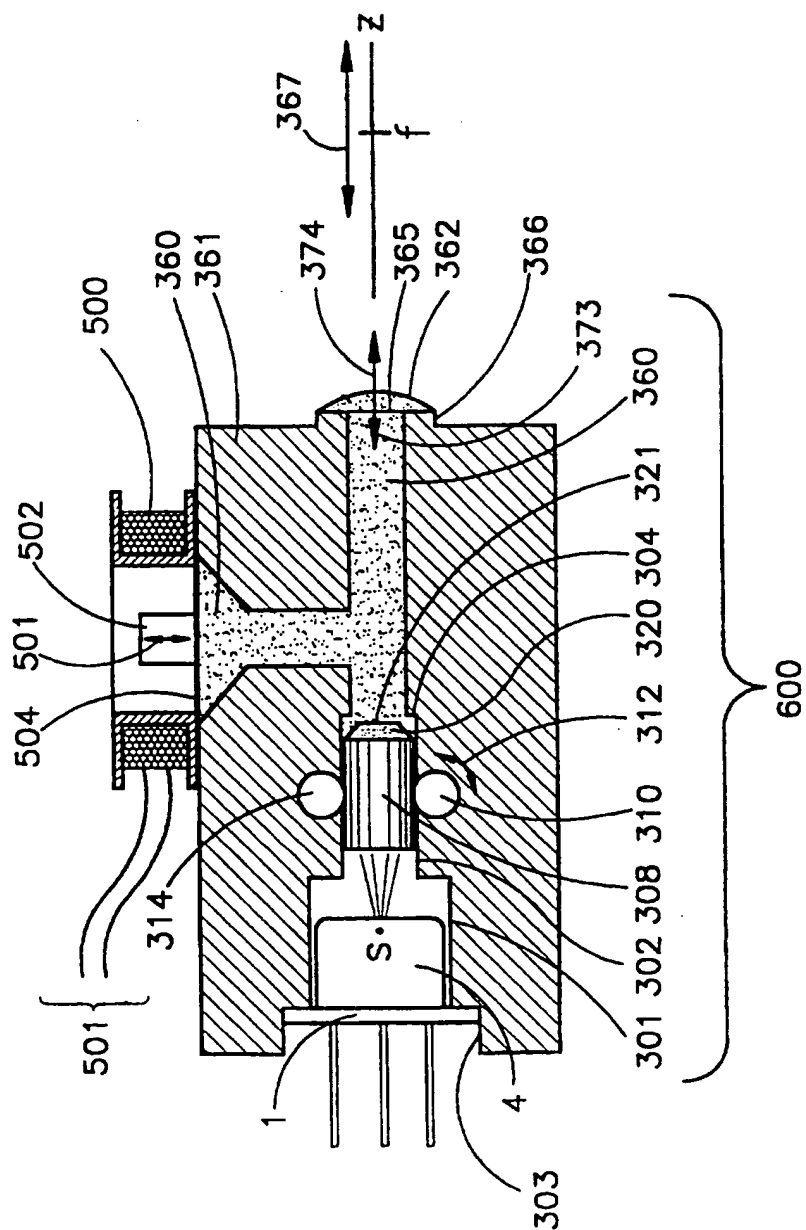
FIG. 18



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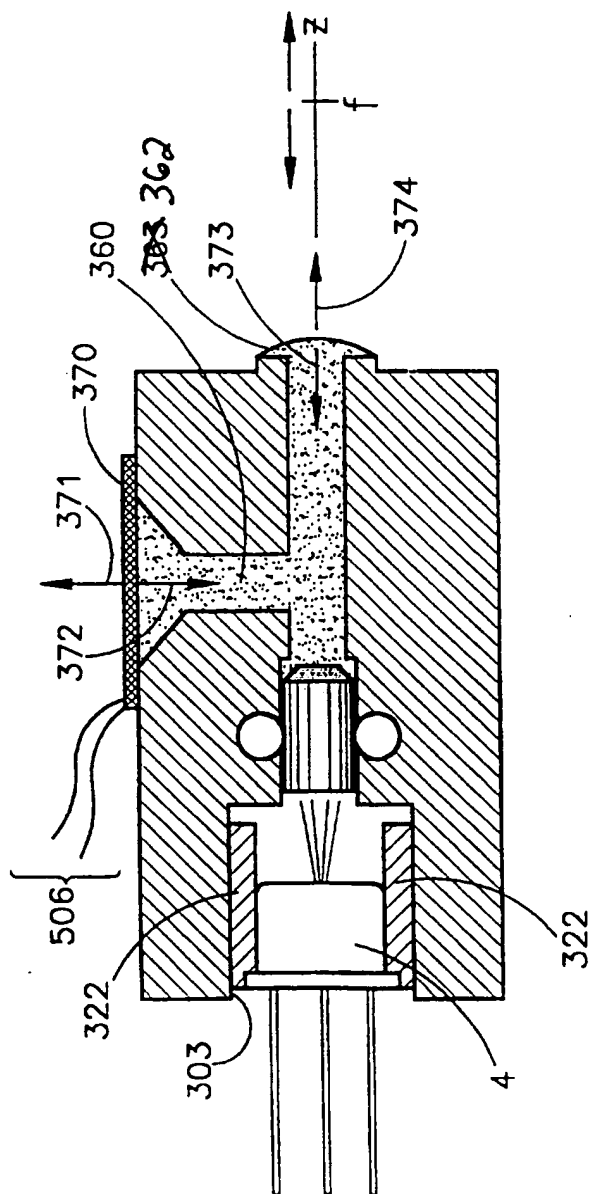
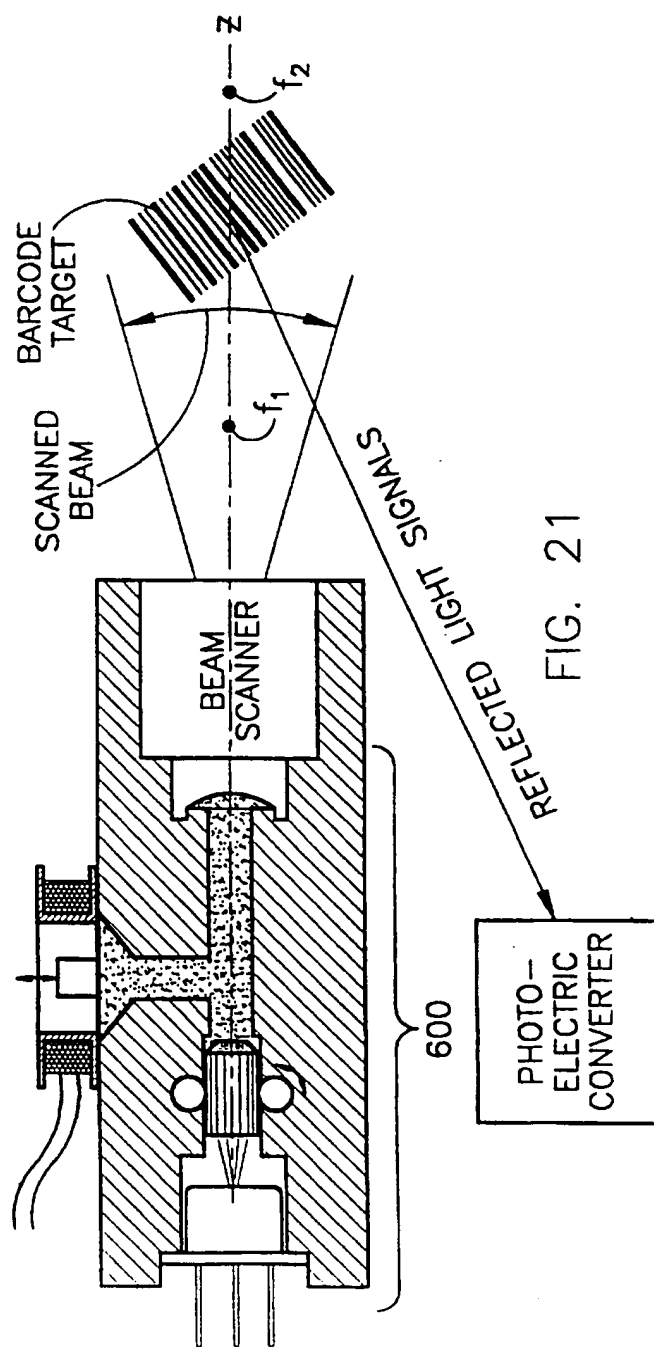


FIG. 20

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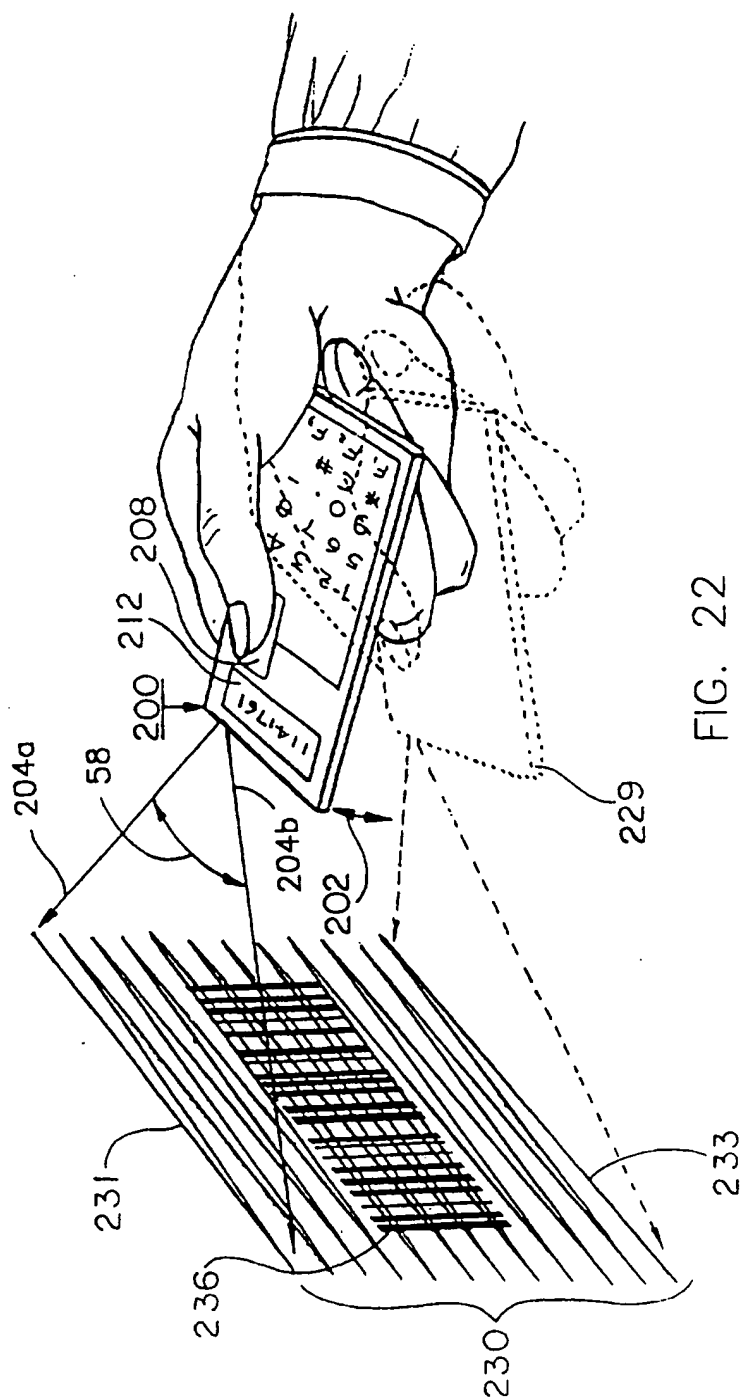
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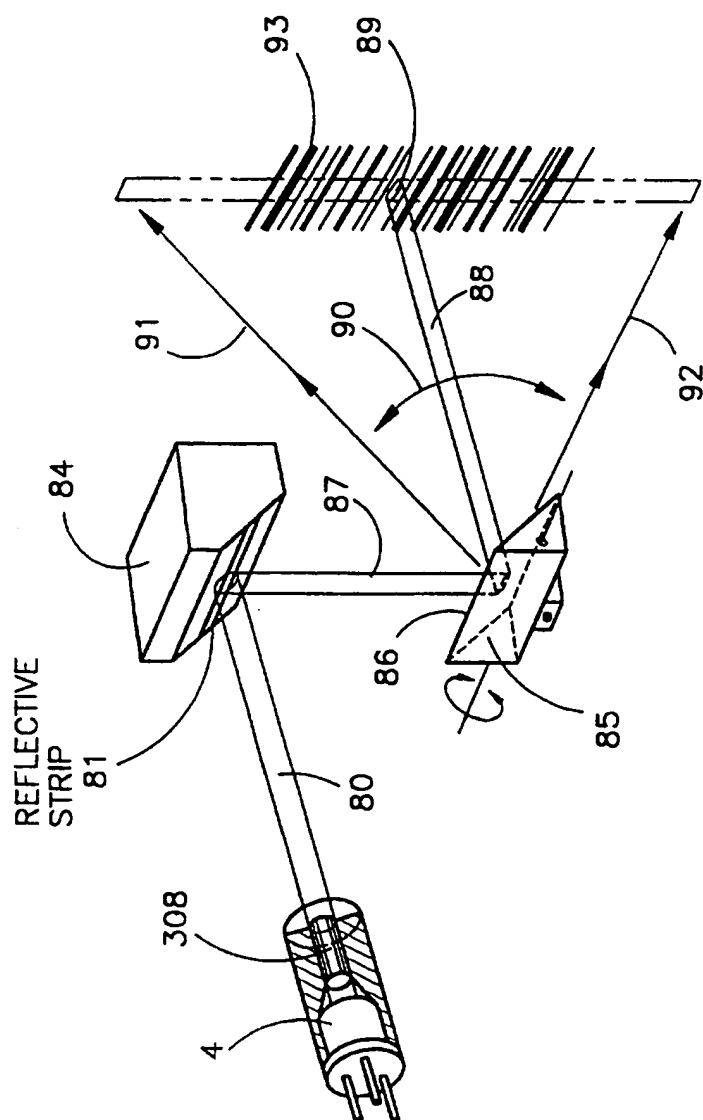


FIG. 23

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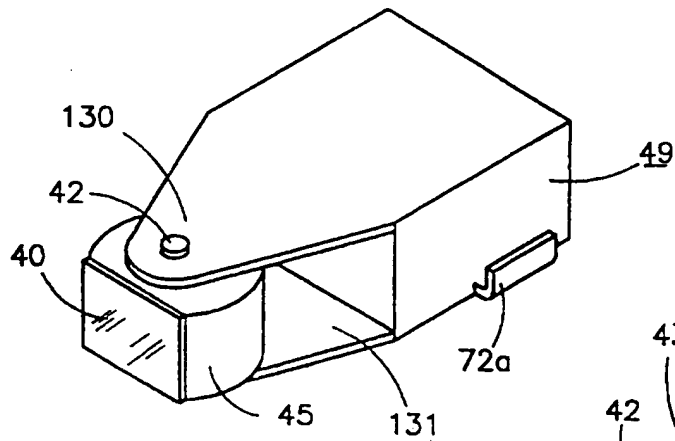


FIG. 24c

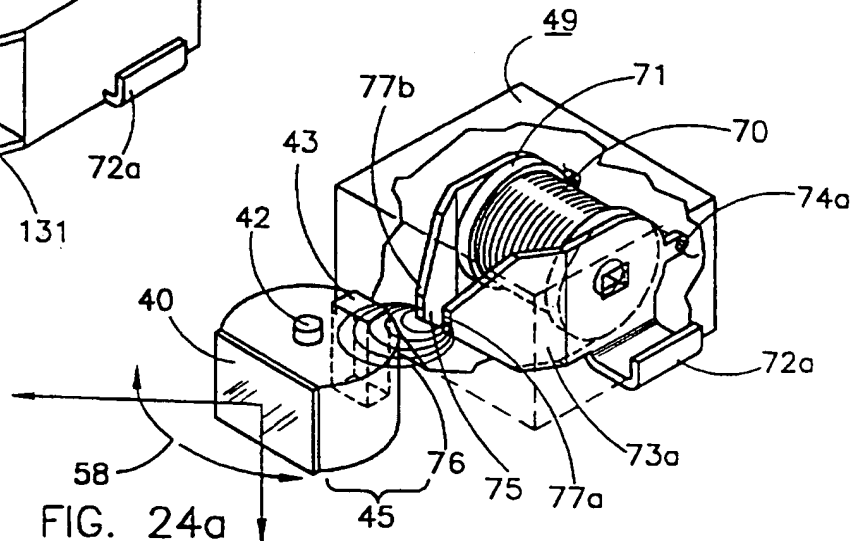


FIG. 2

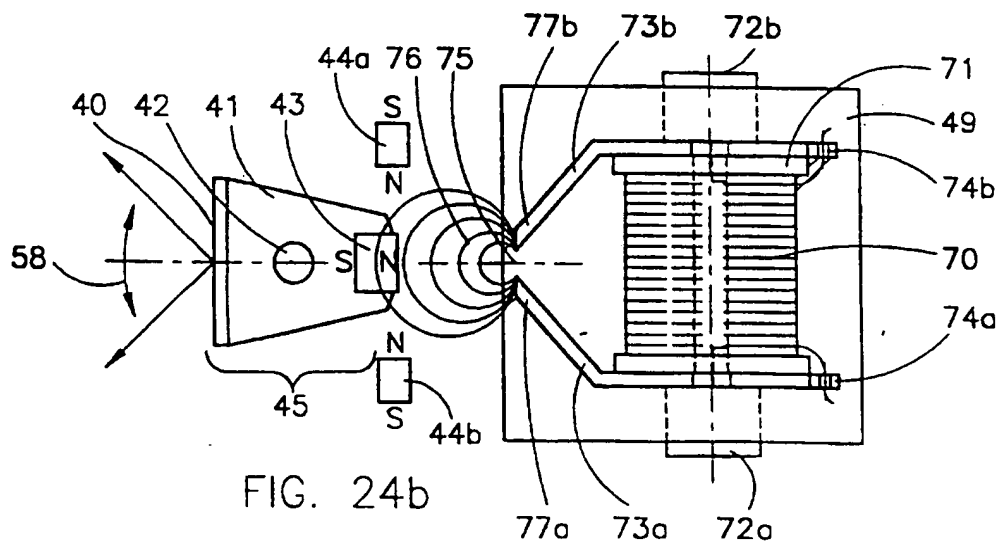


FIG. 24b



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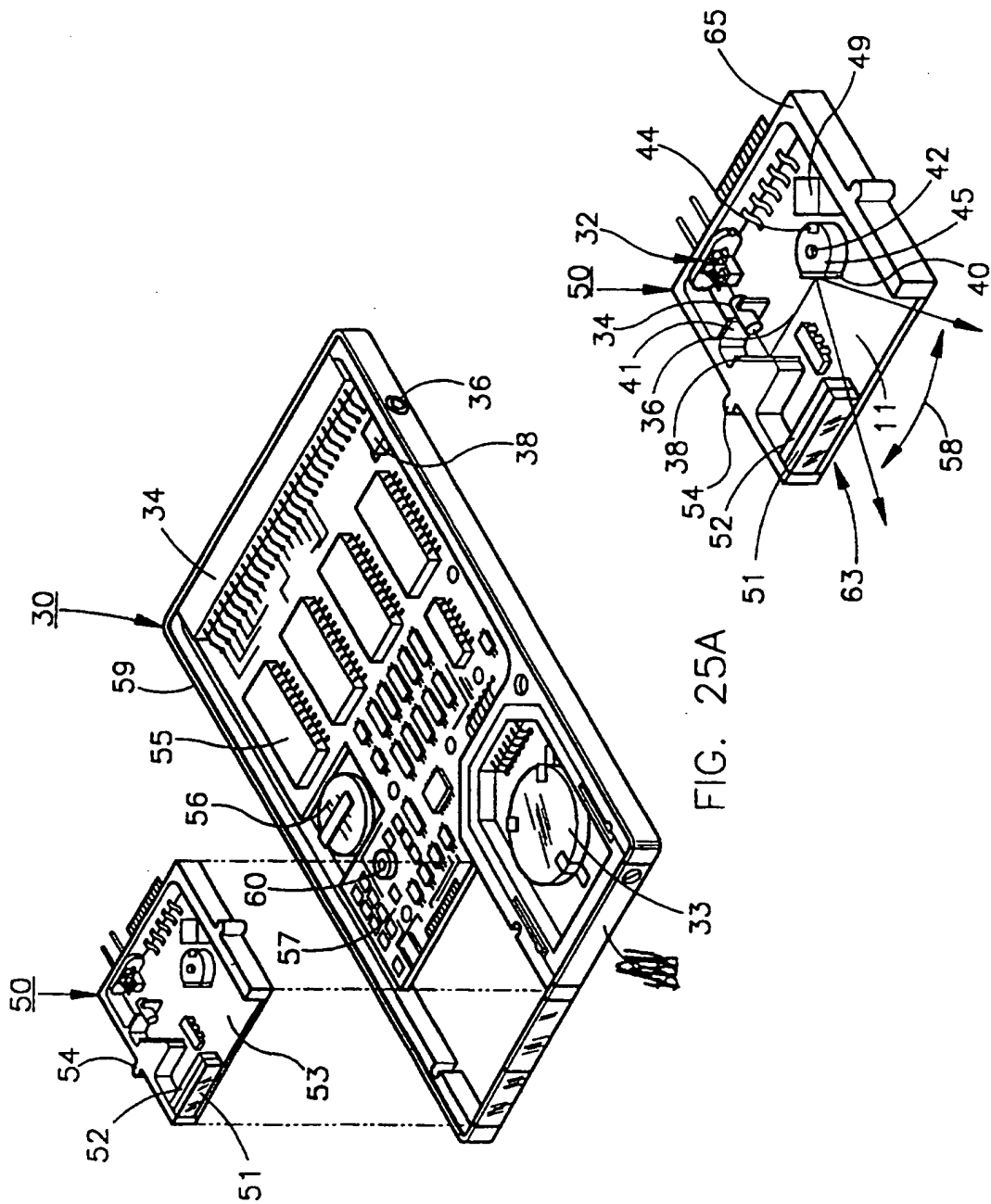


FIG. 25B

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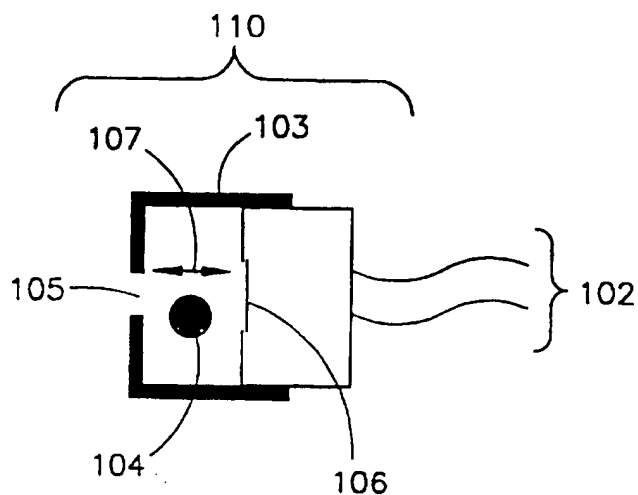


FIG. 27a

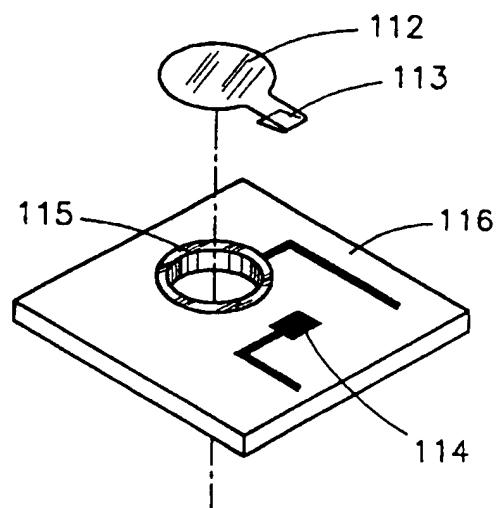


FIG. 27b

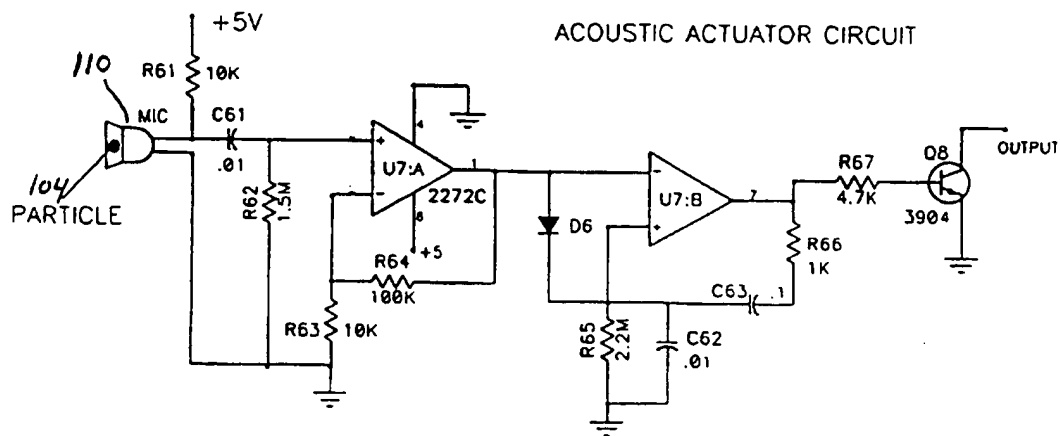


FIG. 26

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/08176

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : G06K 7/10

US CL : 235/472, 462

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 235/472, 462, 467, 492

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

search terms: scanner, scan engine, lens, beam waist, mask

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,438,187 A (REDDERSEN et al) 01 August 1995 (01.08.95), see entire document, especially columns 9-11, figures 11-14,	1-122
Y	US 5,331,143 A (MAROM et al) 19 June 1994 (19.06.94), columns 10-12, figure 5.	1-122
Y	US 4,818,886 A (DRUCKER) 04 April 1989 (04.04.89), column 2 line 54 - column 5 line 31, column 19 line 63 - column 20 line 21, figures 1(a) - 1(d), 24.	1-122
Y,P	US 5,550,367 A (PLESKO) 27 August 1996 (27.08.96), see entire document.	1-122

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance		
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"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed	"A"	document member of the same patent family

Date of the actual completion of the international search

02 SEPTEMBER 1997

Date of mailing of the international search report

12 SEP 1997

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